AVENCHENT OF SOLICITATION/MODIF	CATION OF CONTRACT	1.	CONTRACT ID CODE	Κ	PAGE O	F PAGES					
. AMENDMENT/MODIFICATION NO. P00172	3. EFFECTIVE DATE JUL 2-5 1994	4. REQUI	SITION/PURCHASE REQ	*******************************	~ 	•					
U.S. ARMY MISSILE COMMAND	/2F NLRS, AMMO	DPRO PO BO	1STERED BY LORAL/VOUGHT X 655907 MS 4915 S TX 75265-5907	coc	DE <u> 5442</u> 4	0A					
A MANUFALO INDUCATOR OF POSITORO		SCO A		ADP PT FY76							
B. HAME AND ADDRESS OF CONTRACT	Ж	70	IL SA. AMENDMENT OF	20FICTIVIT	SOLICITATION NO.						
LORAL VOUGHT SYSTEMS 1701 W MARSHALL DRIVE GRAND PRAIRIE TX 75051	A	1	98. DATED (SEE 1 10A. MODIFICATIO DAAHO1-89-C	IN OF CONTRAC	CT/ORDER	NO.					
CODE 64059 FACILITY	TINE		108. DATED (SEE	ITEM 13)							
[] The above numbered solicita Offers [] is extended [] is date specified in the solicatio returning copies of the am submitted; or (c) By separate l FAILURE OF YOUR ACKNOHLEDGEMENT DATE SPECIFIED MAY RESULT IN RE already submitted, such change 'licitation and this amendment . ACCOUNTING AND APPROPRIATIO SEE CONTINUATION SHEET C 13. TH	not extended. Offer ins or as amended by dendment; (b) By acknown terms or telegram whith the exter or telegram whith the exterior of your offer may be made by telegrand is received print to be and is received print to be applied to the extended of the e	et forth in s must ack one of the wledging r ich include HE PLACE DE R. IF by wram or lettion to the TO MODIFIC T/ORDER NO	item 14. The hour nowledge receipt of following methods: eceipt of this amen as a reference to the SIGNATED FOR THE RESTRUCTURE OF this amendar, provided each topening hour and data at 10NS OF CONTRACTS. AS DESCRIBED IN 1 THE CHANGES SECTITE ADMINISTRAT	and date spithis amenda (a) By complication on the solicitation of the solicitation of the solicitation of the solicitation of the specified o	ment prior leting it ch copy of ion and an FERS PRIOR ire to ch letter mai i. ITEM 14 /	r to the hour and ems 8 and 15, and f the offer mendment numbers. R TO THE HOUR AND hange an offer kes reference to the					
E. IMPORTANT: CONTRACTOR [] IS) TO SIGN T	HIS DOCUMENT AND RE	TURN 1 COPI	ES TO TH	E ISSUING OFFICE.					
14. DESCRIPTION OF AMENDMENT/MO	DIFICATION		OUPLIC	LATE OR	NG!N!	AL.					
Except as provided herein, all remain unchanged and in full fo 15A. NAME AND TITLE OF SIGNER B. N. SMITH DIRECTOR, BUSINESS (rce and effect. (Type or print)			OF CONTRACT	-	heretofore changed, CER (Type or print)					
S8. CONTRACTOR/OFFEROR	20 Jul	1	16B. UNITED STATES Awas (Signature of Co	4	ficer	16C. DATE SIGNED					

	***************************************	****	-		······································	***************************************
CONTINUATION SHEET	1	CONTRACT NO. D)AAH01-89-C-0336	P00172	1 Page 2	
OFFEROR OR CONTRACTOR: L	ORAL	VOUGHT SYSTEMS				
						Water and the second of the se

- A-1 THE CONTRACT DELIVERY SCHEDULE IS HEREBY CORRECTED AS SHOWN IN ATTACHMENT 5. THIS ADMINISTRATIVE CORRECTION IS AT NO CHANGE TO THE CONTRACT PRICE.
- A-2 ENGINEERING CHANGE PROPOSAL MI-C1640, "ROCKET POD TRAINER, RADIUS BLOCKS, ALTERNATE MATERIAL". IS HEREBY INCORPORATED INTO CLINS 0032, 0037, 0042, 0047AA, 0047AB, 7012, AND 7022 OF THE SUBJECT CONTRACT AT NO CHANGE TO THE CONTRACT PRICE.
- A-3 VALUE ENGINEERING CHANGE PROPOSAL (VECP) M1-C1658, "REDUCED RANGE PRACTICE ROCKET (RRPR) REMOVAL OF SPIN BALANCE", IS HEREBY INCORPORATED INTO THE SUBJECT CONTRACT. VECP MI-C1658 IS ACCEPTED BASED UPON A MINIMUM SAVINGS OF \$31,200.62 ON THE INSTANT CONTRACT DURING THE SHARING PERIOD.

MINIMUM SAVINGS IS DEFINED AS TOTAL SAVINGS LESS ANY APPLICABLE DEVELOPMENT AND/OR IMPLEMENTATION COSTS PRIOR TO ADJUSTMENT FOR CONTRACTOR SHARE OF SAVINGS. THE AMOUNT OF SAVINGS IS SUBJECT TO FINAL NEGOTIATIONS. IN NO EVENT SHALL THE FINAL NEGOTIATED AMOUNT REFLECT A SAVINGS LESS THAN THE MINIMUM OF \$31,200.62. DEFINITIZATION WILL BE BY MODIFICATION ON A FIRM-FIXED-PRICE BASIS.

THIS VECP APPLIES TO THE FOLLOWING CLINS OF THE SUBJECT CONTRACT. THE GOVERNMENT'S SHARE OF THE NOT-LESS-SAVINGS OF \$31,200.62 IS 50 PERCENT, OR \$15,600.31. THIS AMOUNT IS DEOBLIGATED FROM THE CLINS LISTED BELOW.

<u>CL I H</u>	VECP Quantity	CLIN Quantity
0044AB	1880	3341
0044AC	492	492

A-4 THE IMPACT OF THIS MODIFICATION ON CONTRACT PRICES PER CLIN IS SHOWN BELOW:

	PREVIOUS	PREVIOUS	NEW	NEW
CL1N	UNIT PRICE	TOTAL PRICE	UNIT PRICE	TOTAL PRICE
0044AB	UNDEFINITIZED	\$93,162,682.47	UNDEFINITIZED	\$93,149,084.60
0044AC	UNDEFINITIZED	14,175,179.28	UNDEFINITIZED	14,173,176.84

THE TABLE BELOW SHOWS THE CURRENT FUNDED AMOUNT FOR EACH UNDEFINITIZED CLIN SHOWN ABOVE:

	PREV10US		CURRENT	
	FUNDED AMOUNT	PREVIOUS TOTAL	FUNDED AMOUNT	CURRENT TOTAL
<u>CL 1N</u>	BY UNIT	FUNDED AMOUNT	BY UNIT	FUNDED AMOUNT
BA4400	\$ 27,884.67	\$93,162,682.47	\$ 27,880.60	\$93,149,084.60
0044AC	28,811.34	14,175,179.28	28,807.27	14,173,176.84

A-5 EXCEPT FOR THE DEFINITIZATION OF VECP MI-C1658, THIS MODIFICATION CONSTITUTES COMPLETE, FULL, AND FINAL SETTLEMENT FOR ALL THE CONTRACTUAL CHANGES OF THIS MODIFICATION. THE PARTIES HEREBY MUTUALLY RELEASE EACH OTHER FROM ANY AND ALL LIABILITY UNDER THIS CONTRACT FOR FURTHER EQUITABLE ADJUSTMENTS ATTRIBUTABLE TO SUCH FACTS OR CIRCUMSTANCES GIVING RISE TO THESE CHANGES.

CONTINUAT	ION SHEET CONTRACT NO. I R CONTRACTOR: LORAL VOUGHT SYSTEMS	DAAH01-89-C-C)336 P00172		
ITEM NO	SUPPLIES/SERVICES	QUANTITY	TINU	UNIT PRICE	TOTAL PRICE
0044AB	PRODUCTION QUANTITY PRON: A13EF13759 ACRN: CK AMS CD: 2230592601	3341	EA	\$UNDEFINITIZED	\$93,149,084.60 NTE PER MOD POO12
-	SECTION B - Supplies or Services and Prices/Costs NSN: 1340-01-149-0918 NOUN: PRACTICE ROCKET POD FSCM: 18876 PART NO. 13031900 SECURITY CLASS: UNCLASSIFIED				
0044AC	PRODUCTION QUANTITY PROM: NG200501NG ACRN: CN AMS CD: 000000 CUSTOMER ORDER NUMBER: W74VAE2065	492 9091	EA	\$UNDEFIHITIZED	\$14,173,176.84 NTE PER MOD PO012
	SECTION B - Supplies or Services and Prices/Costs NSN: 1340-01-149-0918				

NOUN: PRACTICE ROCKET POD

SECURITY CLASS: UNCLASSIFIED

FSCM: 18876 PART NO. 13031900

					-
CONTINUATION SHEET	CONTRACT NO.	DAAHO1-89-C-0336 PO	0172 P	age 4	
OFFEROR OR CONTRACTOR: LI	DRAL VOUGHT SYSTEMS				
<u>SECTION G</u> - ADMINISTRATIV	E DATA ACCOUN	TING & APPROPRIATION	N DATA		
LINE PRON/	OBLG STAT/		INCR/DECR	CUMULATIVE	
ITEM MS CD . A	ACRN JOB ORD NO	PRIOR AMOUNT	AMOUNT	THUONA	
0044AB A13EF13759	CK 1	\$93,162,682.47	\$ 13,597.87-	\$93,149,084.60	
2230592601					
0044AC NG200501NG	CW 1	\$14,175,179.28	2,002.44-	14,173,176.84	
		NET CHANGE	\$ 15,600.31-	\$	
NET CHANGE BY		ACCOUNTING	INCR/DECR		
	CLASSIFICATION	STATION	AMOUNT		
Accounting 1	22/031.10/110/1	JIMILION	74100111		
CK 21 32032 35L5L06	2230 2582 S0102	1 W31P4S	\$ 13.597.87-		
	3P22100031EA S49092A		2,002,44-		
		NET CHANGE	\$ 15,600.31-		
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\$1,392,992,506.96 \$ 15,600.31- \$1,392,976,906.65

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ATTACHMENT 5 HARDWARE DELIVERY SCHEDULE

PROGRAM	YEAR	IV -	FY92	:															
	CY93	l .										CY94							
CLIN	Feb	Mar	Apr	Hay	Jun	Jul	Aug	Sep	0ct	Nov	Dec	Jan	Feb	Mar	Apr	Hay	Jun	Jul	TOTAL.
0031											1	7	7	7	4	7	4	7	44
0032											2	14	14	14	8	14	8	14	88
0033AA	400	400	400	400	400	400	400	400	181										3381
0034AA										301	400	231							932
0034AB												169	400	50					619

FY92 OPTION

CY94

CLIN	Aug	Sep	0ct_	Nov	Dec	TOTAL
0036	4	7	7	7	4	29
0037	8	14	14	14	8	58

PROGRAM YEAR V - FY93 CY95

CL 1N	Feb	Har	Apr	May	Jun	Ju1	Aug	TOTAL
0041	7	7	4	7	8	8	3	44
0042	14	14	8	14	16	16	6	88

CY94 CY95

CLIN	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Ju1	Aug	TOTAL
00143AA							85											85
0143AB							1											1
0044AA	232	400	300	٠,														932
0044AB	246	425	425	425	425	425	339	425	85	85	36							3341
0044AC											49	85	85	85	85	85	18	492

	CY96	ì	CY97	
CLIN	Nov	Dec	Jan	TOTAL
0043AA		45		45
0243AA			36	36

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ATTACHMENT 5 HARDWARE DELIVERY SCHEDULE

		A	
E	70 Z	OPT	100

,,,,	CY93			CY94		CY94	CY95	
CLIN	Aug	Sep	11	Mar	11	Dec	Jan	TOTAL
0046						2	7	g
0047						4	14	18
0048AA	235	79						315
0048AB		3						3
0049AA				50				50
0049AB				68				68

FY 93 Option

	CY95					CY96		
CLIN	Aug	Sep	0ct	Nov	Dec	Jan	Feb	TOTAL
7011	5	8	8	8				29
7012	10	16	15	16				58
7021					7	6	5	18
7022					14	12	10	36

As of Modification P00171

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Distribution Statement

D10 - Statement D, limited to DoD and Contractors due to Administrative or Operational Use

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Frame 2

General ECP Information

Date Submitted: 2002/09/11

PAN: MI-M9041

DODAAC:

Originator: Precision Fires Rocket & Missile Systems, SFAE-MSL-PF-PES-PDM, Redstone, AL 35898

ECP CLASS	J-CODE	PRIORITY	MODEL/TYPE
	\mathbf{C}	()	M270A1
CAGE	SYSTEM	CONFIG TYPE	
18876	MLRS	 .w	
ECP NO	TYPE	REVISION	AMENDMENT
MI-M9041	**	RI	AU

Baseline Affected:

Total Number of Change Documents Attached to this Document: 0**

Functional: Y

Allocated:

Product:

Other Systems/Configuration Items Affected: Y

System in Production?: Y

Effect on Production Delivery Schedule:

- Days After Contractual Approval:

- Specific Date:

Frame 3

Specifications Affected

		Note: Select SCN	to View	
Specification	Cage Code	Revision	SCN	Number-Type
MLRS Fire Control System Performance Specification	18876	Ô		MIS-PRF-35520-MS

Frame 4

Contract Number and Line Item

Contract Number Line Item
TBD TBO

Frame 5

Procuring Contracting Officer

Officer Code Telephone
AMSAM-AC-TM-C 256 876-7347

Frame 6

Configuration Item Nomenclature

System

Item Nomenclature Part Number

MLRS Fire Control System Performance Part Number

Specification MIS-PRF-35520 MLRS

Frame 7

Name of Part or Lowest Assembly Affected

Assembly Name	Assembly Number	NSN	CAGE
MLRS Fire Control System Specification	MIS-35520		18876

Frame 8

Description of Change

Changes made to MIS-PRF-35520 Performance Specification to Create Rev A

The original MIS-PRF-35520 was developed as a baseline document covering M270A1 production phases LRIP-1, 2, and 3. The notable difference between these three productions is the updated PPC2 processor in the POS/NAV unit added during LRIP-3. An FCA was performed on MIS-PRF-35520 that covered the M270A1 launchers produced up through LRIP-3.

NOTE: NO changes were made to the classified appendix to create MIS-PRF-35520 Rev A.

MIS-PRF-35520 Rev A was developed to

- 1) include any changes developed during the FCA,
- 2) add the requirements for LCFCP to be included in LRIP-4
- 3) add appendices 'B', 'C', and 'E' as detailed below.

A notable change to the body of MIS-PRF-35520 Rev A is the addition of the LCFCP which drove a requirement for an ethernet 10BaseT data bus connection. Appendix 'B' establishes the HIMARS launcher specific system performance and test requirements. Appendix 'C' establishes the FCS updated M270 launcher specific system performance and test requirements. Appendix 'E' documents the as-built system architecture and interface requirements.

During the week of Oct 7, 2002 a meeting was held between PFRMS & LMMFC-D (M270A1 & HIMARS) to resolve comments concerning the proposed revision to MIS-PRF-35520 Rev A. In general, the changes or important issues discussed were minor or editorial in nature. Below is a summary of the important highlights of this meeting:

- 1. Lockheed noted that Appendix C was not reviewed since they did not have contractual authority.
- 2. Lockheed agrees to Appendix D if no changes were made from the original version of this MIS-PRF-35520.
- Reference to the interfaces in Appendix E needed to be called out as "external interfaces".
- 4. Lockheed wanted Rev A to note there are "Provisions" for an RS-422 Internet Controller (INC).
- 5. Add the new ICD, MIS-48656 for HIMARS.
- 6. Remove MIS-48811 for HIMARS.
- 7. Remove 11508910/02 and add Rev D to 11508910/02 since Rev D includes U.S. information "02" can go away.

To view LMMFC-D M270A1 and HIMARS comments refer to the Supplemental Data.

CLICK to view MIS-PRF-35520 Rev A

Frame 9

Need for Change

Incorporation of this document into the M270A1 production contract is part of the consideration for RFW V351 rev 4, the time line RFW.

See also the Description of the Change.

NO changes were made to the classified appendix to create MIS-PRF-35520 Rev A.

Frame 10

Other Systems Affected

HIMARS Fire Control System

Frame 11 **Configuration Items Affected** MLRS M270A1 and HIMARS Fire Control System items. Frame 12 Effects on Performance Allocations and Interfaces in System **Specification** MIS-PRF-35520 provides additional specific requirements for the Fire Control System of the M270A1 Launcher. Frame 13 **Developmental Requirements and Status** MIS-PRF-35520 has already undergone extensive review and coordination in the PF RAMS project office, RDEC and LMMFCS-D. This ECP is one last review to verify all comments have been satisfied, and includes updates based upon System FCA Action Items. Frame 14 Effects on Program Configuration Identification Operation and Logistics Note: Areas not affected by this ECP do not appear Effect On Product Configuration Documentation/Identification Or Contract • PERFORMANCE

Frame 14.1

Performance

Refer to the Description of Change.

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Page 6 of 6

Frame 15

Supplemental Information
Supplemental Enclosures
LMMFC-D comments & PFRMS responses
Frame 15.
LMMFC-D comments & PFRMS responses
M270A1 Comments
HIMARS Comments
Comment Disposition

Ref. No. of Doc. Being Contg.: DAAHG1-89-C-033b CONTINUATION SHEET Page 102 of 127
Name of Offeror or Contractor: LTP AEROSPACE AND DEFENSE CO

(b) To encourage placement of subcontracts with SOBS/HBCUS/HIS, the Contractor will be entitled to receive an incentive award under this clause, as follows:

- (1) Where the SDB/HBCU/MI goal in this contract is less than five percent (5%) of the contract price and the Contractor both exceeds its SDB/HBCU/MI goal and awards more than five percent (5%) of the contract price (see FAR 15.801) to SDBs/HBCUs/MIs in performing this contract, the Contractor will receive ten percent (10%) of the difference between the actual dollar amount of subcontracts warded to SDBs/HBCUs/MIs and five percent(5%) of the contract price.
- (2) Where the SDB/HBCU/MI goal in this contract is equal to or greater than five percent (5%) of the contract price and the Contractor both exceeds its SDB/HBCU/MI goal and awards nore than five percent (5%) of the contract price (see FAR 15.801) to SDBs/HBCUs/MIs in performing this contract, the Contractor will receive ten percent (10%) of the difference between the actual dollar amount of subcontracts awarded to SDBs/ HBCUs/MIs and the SDB/HBCB/MI goal.
- (c) The Contractor will not be entitled to receive an incentive award under this clause if the Contracting Officer determines that the amount by which the Contractor exceeded its goal was not due to the Contractor's efforts (e.g., a subcontractor cost overrun, or the award of subcontracts that had been planned but had not been disclosed in the subcontracting plan during contract negotiations, caused the actual subcontract amount to exceed that estimated in the subcontracting plan). Determinations made under this paragraph are not subject to the Disputes clause.
 - (d) If this is a cost contract, the limitations of FAR Subpart 15.9 may not be exceeded.

(Ind of clause)

I-6 RIGHTS IN TECHNICAL BATA AND COMPUTER SOFTWARE 252,227-7013

(88P) T30)

- (a) Definitions
- (1) "Commercial computer software", as used in this clause, means computer software which is used regularly for other than Bovernment purposes and is sold, licensed, or leased in significant quantities to the general public at established market or catalog prices.
- (2) "Computer", as used in this clause, means a data processing device capable of accepting data, performing prescribed operations on the data, and supplying the results of these operations; for example, a device that operates on discrete data by performing arithmetic and logic processes on the data, or a device that operates on analog data by performing physical processes on the data.
- (3) "Computer data base", as used in this clause, means a collection of data in a form capable of being processed and operated on by a computer.
- (4) "Computer program" as used in this clause, means a series of instructions or statements in a form acceptable to a computer, designed to cause the computer to execute an operation or operations. Computer programs include operating systems, assemblers, compliers, interpreters, data management systems, utility programs, sortnerge programs, and ADPE maintenance/diagnostic programs, as well as applications programs such as payroll, inventory control, and engineering analysis programs. Computer programs may be either machine-dependent or machine-independent, and may be general-purpose in nature or be designed to satisfy the requirements of a particular user.
 - (5) "Computer software", as used in this clause, neans computer programs and computer data bases.

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tame of Offeror or Contractor: LTV AEROSPACE AND DEFENSE CO

Page 183 of 127

(b) "Computer software documentation", as used in this clause means technical data, including computer listings and printouts, in human-readable form which (i) documents the design or details of computer software, (ii) explains the capabilities of the software, or (iii) provides operating instructions for using the software to obtain desired results from a computer.

- (1) "Data", as used in this clause, means recorded information, regardless of form or method of the recording.
- (8) "Detailed design data", as used in this clause, means technical data that describes the physical configuration and performance characteristics of an item or component in sufficient detail to ensure that an item of component produced in accordance with the technical data will be essentially identical to the original item or component.
- (9) "Detailed nanufacturing or process data", as used in this clause, means technical data that describes the steps, sequences, and conditions of manufacturing, processing or assembly used by the manufacturer to produce an item or component or to perform a process.
- or component must have been constructed or the process practiced. Norkability is generally established when the item, component are process has been analyzed or test sufficiently to demonstrate to reasonable people skilled in the applicable art that there is a high probability that it will operate as intended. Whether, how much, and what type of analysis or testing is required to establish workability depends on the nature of the item, component, or process, and the state of the art. To be considered "developed", the item, component, or process need not be at the stage where it could be offered for sale or sold on the commercial market, nor must the item, component or process be actually reduced to practice within the meaning of Title 35 of the United States Code.
- (11) "Beveloped Exclusively with Government Funds", as used in this clause, means in connection with an item, component, or process, that the cost of development was paid for in whole by the Government or that the development was required for the performance of a Government contract or subcontract.
- (12) "Developed Exclusively at Private Expense", as used in this clause, means, in connection with an item, component, or process, that no part of the cost of development was paid for by the Sovernment and that the development was not required for the performance of a Sovernment contract or subcontract. Independent research and development and bid and proposal costs, as defined in FAR 31.205-18 (whether or not included in a formal independent research and development program), are considered to be at private expense. All other indirect costs of development are considered Sovernment funded when development was required far the performance of a Sovernment contract or subcontract. They are considered funded at private expense when development was not required for the performance of a Sovernment contract or subcontract.
- (13) "Form fit, and function data", as used in this clause, means technical data that describes the required operall physicial, functional, and performance characteristics, (along with the qualification requirements, if applicable) of an item, component, or process to the extent necessary to permit identification of physically and functionally interchangeable items.
- (14) "Government purpose license rights"(GPLR), as used in this clause, means rights to use, duplicate, or disclose data (and in the SBIR Program, computer software), in whole or in part and in any manner, for Government purposes only, and to have or permit others to do so for Government purposes only, Government purposes include competitive procurement, but do not include the right to have or permit others to use technical data (and in the SBIR Program, computer software) for commercial purposes.
- (15) "Limited rights", as used in this clause, means rights to use, duplicate, or disclose technical data, in whole or in part, by or for the Government, with the express limitation that such technical data shall not, without the written permission of the party asserting limited rights, be: Released or disclosed outside the Government; used by the Government for manufacture, or in the case of computer software documentation, for preparing the same or similar computer software; or used by a party other than overnment, except that the Government may release or disclose technical data to persons outside the Government or permit the of technical data by such persons, if
 - (i) Such release, disclosure, or use --

Ref. No. of Ooc. Being Contd.: DAAND1-89-C-033h CONTINUATION SWEET Page 104 of 127
Make of Offerer or Contractor: LTP AEROSPACE AND DEFEMSE CO

(A) Is necessary for energency repair and overhaul; or

- (B) Is a release or disclosure of technical data (other than detailed manufacturing or process data) to, or use of such data by a foreign government that is in the interest of the Government and is required for evaluation or informational purposes.
- (ii) Such release, disclosure, or use is made subject to a prohibition that the person to whom the data is released or disclosed man not further release, disclose or use such data, and
 - (iii) the contractor or subcontractor asserting the restriction is notified of such release, disclosure or use.
- (1b) "Required for the Performance of a Government Contract or Subcontract", as used in this clause means, in connection with the development of am item, component, or process, that the development was specified in a Government contract or subcontract or that the development was accomplished during and was necessary for performance of a Government contract or subcontract.
- (17) "Restricted rights", as used in this clause, means rights that apply only to computer software, and include, as a minimum, the right to -
- (i) Use computer software with the computer for which or woth which it was acquired, including use at any Government installation to which the computer may be transferred by the Government:
 - (ii) Use computer software with a backup computer if the computer for which or with which it was acquired is inoperative?
 - (iii) Copy computer programs for safekeeping (archives) or backup purposes; and
- (iv) Modify computer software, or combine it with other software, subject to the provision that those portions of the derivative software incorporating restricted rights software are subject to the same restricted rights. In addition, restricted rights include any other specific rights not inconsistent with the minimum rights in (a)(17 (i)-(iv) above that are listed or described in the contract or described in a license agreement made a part of the contract.
- (19) "Technical data", as used in this clause, means recorded information, regardless of the form or method of the recording of a scientific or technical nature (including computer software documentation). The term does not include computer software or data incidental to contract administration, such as financial and/or management information.
- (19) "Unlimited rights", as used in this clause, means rights to use, duplicate, release, or disclose, technical data or computer software in whole or in part, in any manner and for any purpose whatsoever, and to have or permit others to do so.
- (20) "Unpublished", as used in this clause, means that technical data or computer software has not been released to the public or furnished to others without restriction on further use or disclosure. Delivery of other than unlimited rights technical data or computer software to or for the Government under the contract does not, in itself, constitute release to the public.
- (b) Rights in Technical Data -1 Unlimited Rights. Unless otherwise agreed in writing, the Government is entitled to and will receive unlimited rights in:
- (i) Technical data pertaining to an item, component, or process which has been or will be developed exclusively with Government funds.
- (ii) Technical data resulting directly from performance of experimental, developmental, or research work which was specified as an element of performance under this or any other Government contract or subcontracts
- (iii) Form, fit, and function data pertaining to items, components, or processes prepared or required to be delivered under its or any other Government contract or subcontract;

Ref. No. of Doc.	Being Contd.: DAA	<u> </u>	ONTINUATION S	ill E1	7	age 105 of 1	27
		AEROSPACE AND DEFENS					

- (iv) Manuals or instructional materials (other than detailed manufacturing or process data and connercial computer software documentation) prepared or required to be delivered under this or any other contract or any subcontract hereunder necessary for installation, operation, maintenance, or training purposes;
- (y) Technical data prepared or required to be delivered under this or any other Government contract or subcontract and constituting corrections or changes to Government-furnished data or computer software;
- (vi) Technical data which is otherwise publicly available, or has been released or disclosed by the Contractor or subcontractor, without restriction on further release or disclosure;
 - (vii) Technical data in which the Government has obtained unlimited rights as a result of negotiations 3
- (viii) Technical data previously delivered subject to either SPLR or limited rights and the restrictive condition has expired.
 - (2) Sovernment Purpose License Rights

The Government shall have Government purpose license rights (GPLR) in technical data which the parties have agreed will be furnished with GPLR. The Government may disclose or provide GPLR data to a person or corporation that has executed the Standard Non-Disclosure Agreement. This agreement establishes the third party beneficary status of the Contractor identified in the GPLR legend. If the recipient of GPLR data has executed the Standard Non-Disclosure Agreement, the Contractor shall have no claim or right of action against the Government for damages related to misuse or unauthorized disclosure of the data. GPLR shall be

ripe, during the time period specified in the contract, only when the portion or portions of each piece of data subject to rights are identified (for example, by circling, underscoring, or a note), and are marked with the legend below containing:

- (i) The number of the prine contract under which the technical data is to be delivered:
- (ii) The name of the Contractor and/or any subcontractor asserting Government purpose license rights; and
- (iii) The date when the data will be subject to unlimited rights.

GOVERNMENT PURPOSE LICENSE RIGHTS LEGEND

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entractor:
Government purpose license rights shall be effective until
nereafter, the Government purpose license rights will expire and the Government shall have unlimited rights in the technical

The restrictions governing use of technical data marked with this legend are set forth in the definition of "Government use License Rights" in paragraph (a)(14) of the clause at 252,227-7013 of the contract listed above. This legend, together the indications of the portions of this data which are subject to Government purpose license rights, shall be included on any reproduction hereof which includes any part of the portions subject to such limitations.

(3) Linited Rights. Unless otherwise agreed the Government shall have limited rights in:

Ref. No. of Doc. Being Contd.:	DAAH01-84-C-833b	CONTINUATION SHEET	Page 186 of 127
Name of Offeror or Contractor:	LTV AEROSPACE AND	DEFENSE CO	

- (i) Technical data pertaining to items, components, processes or computer software developed exclusively at private expense, except for data in the categories in (a)(1) above;
 - (ii) Technical data that the parties have agreed will be subject to limited rights for a specified period of times and
- (iii) Technical data listed or described in a license agreement made a part of the contract and subject to conditions other than those described in the definitions of limited rights. Notwithstanding any contrary provision in the license agreement, the Government shall have the rights included in the definition of "limited rights" in paragraph (a)(15) above.

Limited rights will remain in effect so long as the technical data remains unpublished and provided that only the portions of each piece of data subject to limited rights are identified (for example, by circling, underscoring, or a note), and the piece of data is marked with the legend below containing:

- (A) The number of the prime contract under which the technical data is to be delivered; and
- (8) The name of the Contractor and/or any subcontractor asserting limited rights.
- (C) The date the data will be subject to walimited rights (if applicable).

LINITED RIGHTS LEGEND

Contract No
atractor
Limited rights shall be effective until (insert date certain), thereafter the limited rights will expire and the Government shall have unlimited rights in the technical data.
The restrictions governing the use and disclosure of technical data marked with this legend are set forth in the definition of "limited rights" in paragraph (a)(15) of the clause at 252.227-7813 of the contract listed above.
For technical data which the parties have agreed will be subject to limited rights for a specified time period, insert the agreed upon date. If the limited rights are not subject to an expiration date, so indicate).
For technical data which the parties here agreed will be subject to rights other than those describ ed in the definitions of limited rights or 6PLR in paragraph (a)(15) and (a)(14) above, insert the following statement:
"In addition to the minimum rights described in the definition of limited rights in DFARS clause at 252.227-7813, the Government shall have the rights described in the license or agreement made a part of Contract No

This legend, together with the indications of the portions of this data are subject to limited rights, shall be included on my reproduction hereof which includes any part of the portions subject to such limitations. This technical data will remain bject to limited rights only so long as it remains "unpublished" as defined in paragraph (a) above.

(c) Rights in Computer Software

Ref. No. of	Doc. Being Contd.:	DAAHD1-89-C-D336	CONTINUATION SHEET	Page 107 of 127
	eror or Contractor:			
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(1) Restricted Rights. (i) The Government shall have restricted rights in computer software, listed or described in a license agreement made a part of this contract, which the parties have agreed will be furnished with restricted rights. Notwithstanding any contrary provision in any such license agreement, the Government shall have the rights included in the definition of "restricted rights" in paragraph (a)(17) above. Unless the computer software is marked by the Contractor with the following legend:

RESTRICTED RIGHTS LEGEND

Use, duplication or disclosure is subject to restricti	ons stated in Contract No.	with
and the related computer softwa	re documentation includes a promi	nent statement of the restrictions
applicable to the computer software, the Government shall h	are unlimited rights in the softu	are. The Contractor may not place any
legend on computer software restricting the Government's ri	ghts in such software unless the	restrictions are set forth in a
license agreement made a part of this contract prior to the		
restricted rights legend to the computer software shall rel	ieve the Government of liablility	with respect to the unnarked
softuare.		

(ii) Notwithstanding subparagraph (c)(1)(i) above, connercial computer software and related documentation developed at private expense and not in public domain may be marked with following Legend:

RESTRICTED RIGHTS LEGEND

Use, duplication, or disclosure by the Government is subject to restrictions as set forth in subparagraph (c)(1)(ii) of the $x_{g'}$ is in Technical Data and Computer Software clause at DFARS 252.227-7D13.

When acquired by the Government, connercial computer software and related documentation so legended shall be subject to the following:

- (A) little to and ownership of the software and documentation shall remain with the Contractor.
- (8) User of the software and documentation shall be limited to the facility for which it is acquired.
- (C) The Government shall not provide or otherwise make available the software or documentation or any portion thereof, in any form to any third party without the prior written approval of the Contractor. Third parties do not include prime contractors, subcontractors and agents of the Government who have the Government's permission to use the licensed software and documentation at the facility, and who have agreed to use the licensed software and documentation only in accordance with these restrictions. This provision does not limit the right of the Government to use software, documentation, or information therin, which the Government has or may obtain mithout restrictions.
- (B) The Government shall have the right to use the computer software and documentation with the computer for which it is acquired at any other facility to which that computer may be transferred; to use the computer software and documentation with a backup computer when the primary computer is inoperative; to copy computer programs for safekeeping (archives) or backup purposes; and to modify the software and documentation or combine it with other software. Provided, that the unmodified portions shall remain subject to these restrictions.
 - (2) Unlimited Rights. The Government shall have unlimited rights in:
- (i) Computer software resulting directly from performance of experimental, developmental or research work which was specified as an element of performance in this or any other Sovernment contract or subcontract:

Ref. No. of Doc. Being Coatd.: DAAHD1-89-C-833L CONTINUATION SHEET
Name of Offeror or Contractor: LTV AEROSPACE AND DEFENSE CB

Page 100 of 127

- (ii) Computer software required to be originated or developed under a Government contract, or generated as a necessary part of performing a contract.
- (iii) Computer data bases, prepared under a Government contract, consisting of information supplies by the Government, information in which the Government has unlimited rights, or information which is in the public domain:
- (iv) Computer software prepared or required to be delivered under this or any other Government contract or subcontract and constituting corrections or changes to Government-furnished computer software; and
- (v) Computer software which is otherwise publicly available, or has been, or is normally released, or disclosed by the Contractor or subcontractor without restriction on further release or disclosure.
 - (d) Technical Data and Computer Software Previously Provided Without Restriction.

Contractor shall assert no restrictions on the Government's rights to use or disclose any data or computer software which the Contractor has previously delivered to the Government without restriction. The limited or restricted rights provided for by this clause shall not impair the right of the Government to use similar or identical data or computer software acquired from other sources.

- (e) Copyright. (1) In addition to the rights granted under the provisions of paragraphs (b) and (c) above, the Contractor hereby grants to the Government a nonexclusive, paid-up license throughout the world, of the scope set forth below, under any copyright owned by the Contractor, in any work of authorship prepared for or acquired by the Government under this contract, to produce the work in copies of phonorecords, to distribute copies or phonorecords to the public, to perform or display the work alicly, and to prepare derivative works thereof, and to have others do so for Government purposes. With respect to technical wata and computer software in which the Government has unlimited rights, the license shall be of the same scope as the rights set forth in the definition of "unlimited rights" in (a)(19) above. With respect to technical data in which the Government has limited rights, the scope of the license is limited to the rights set forth in the definition of "limited rights". With respect to computer software which the parties have agreed will be furnished with restricted rights, the scope of the license is limited to such rights.
- (2) Unless written approval of the Contracting Officer is obtained, the Contractor shall not include (in technical data or computer software prepared for or acquired by the Government under this contract) any works of authorship in which copyright is not owned by the Contractor without acquiring for the Sovernment any rights necessary to perfect a copyright license of the scope specified herein.
- (3) The Contractor shall be considered the "person for whom the work was prepared" for the purpose of determining authorship under 17 U.S.C 201(b).
 - (4) [echaical data delivered under this contract bearing a copyright notice shall also include the following statement:

This naterial may be reproduced by or for the U.S. Government pursuant to the copyright license under the clause at BFARS 252.227-7013 (date).

- (f) Renoval of Unjustified and Monconforming Markings (1) Unjustified Technical Data Harkings. Motuithstanding any provision of this contract concerning inspection and acceptance, the Government may, at the Contractor's expense, correct, cancel, or ignore any marking not justified by the terms of this contract on any technical data furnished hereunder in accordance with the clause of this contract entitled "Validation of Restrictive Markings on Technical Data", DFARS 252,227-7037.
- (2) Monconforming Technical Data Markings. Correction of nonconforming markings is not subject to this clause. The vernment may, at the Contractor's expense, correct any nonconforming markings if the Contracting Officer notifies the Contractor at the Contractor fails to correct the nonconforming markings within sixty (60) days.

Ref. No. of Boc. Being Contd.: DARHOI-89-C-033b CONTINUATION SHEET Page 109 of 127
'ane of Offeror or Contractor: LTV AEROSPACE AND DEFENSE CO

(3) Unjustified and Monconforming Computer Software Markings. Notwithstanding any provision of this contract concerning inspection and acceptance, the Government may correct, cancel, or ignore any marking not authorized by the terms of this contract on any computer software furnished hereunder, if

- (i) The Contractor fails to respond within sixty CbO) days to a written inquiry by the Government concerning the propriety of the markings, or
- (ii) The Contractor's response fails to substantiate, within sixty (60) days after written notice, the propriety of restricted rights markings

In either case, the Government shall give written notice to the Contractor of the action taken.

- (g) Relation to Patents. Nothing contained in this clause shall imply a license to the Government under any patent or be construed as affecting the scope of any license or other right otherwise granted to the Government under any patent.
- (h) Limitation on Charges for Data and Computer Software. The Contractor recognizes that the Government is not obligated to pay, or to allow to be paid, any charges for data or computer software which the Government has a right to use and disclose to others without restriction and Contractor agrees to refund any such payments. This provision applies to contracts that involve payments by subcontractors and those entered into through the Military Assistance Program, in addition to U.S. Government prine Contracts. It does not apply to reasonable reproduction, handling, mailing, and similar administrative costs.
 - (i) Aquisition of Technical Data and Computer Software from Subcontractors.
- (1) The Contractor must satisfy its contractual obligation to the Government while ensuring that the rights afforded its suscentractors under 10 U.S.C. 2320 are recognized and protected in satisfying its obligation the Contractor must accomplish the balancing of interests described at DFARS 227.472-1 in dealing with its subcontractors.
- (2) Whenever any technical data or computer software is to be obtained from a subcontractor under this contract, the Contractor shall use this same clause in the subcontract, without alteration, and no other clause shall be used to enlarge or diminish the Government's or the Contractor's rights in the subcontractor data or computer software.
- (3) Technical data required to be delivered by a subcontractor shall normally be delivered to the next higher-tier contractor. However, when there is a requirement in the prime contract for data which may be submitted with other than unlimited rights by a subcontractor, then said subcontractor may fulfill its requirement by submitting such data directly to the Government, rather than through the prime Contractor.
- (4) The Contractor and higher-tier subcontractors will not use their power to award subcontracts as economic leverage to obtain rights in technical data or computer software from their subcontractors.
- (5) The Contractor shall ensure that subcontractor rights are recognized and protected in the notification and listing process at paragraphs (j) and (k) below.
- (b) In no event shall the Contractor use its obligation to recognize and protect subcontractor rights in technical data as an excuse for failing to satisfy its contractual obligation to the Government.
- (j) Notice of Limitations on Sovernment Rights. (1) The Offeror/ Contractor shall notify the Contracting Officer of the Contractor's or its potential subcontractor's use in the performance of the contract or subcontract of items, components, processes and computer software that -
 - (i) Have been developed exclusively at private expense;
 - (ii) Have been developed in part at private expense; or

Ref. No. of Doc. Being Contd.:	0AAH01-64-C-033b	CONTINUATION SHEET	Page 110 of 127
Name of Offeror or Contractor:			

- (iii) Embody technology that has been developed exclusively with Sovernment funds which the Offeror or Contractor or subcontractor desires exclusive rights to commercialize, with Sovernment approval.
- (2) Such notification is not required with respect to each iten, component, processes, or computer software if no technical data is required to be delivered or if the required technical data is delivered with unlimited rights.
 - (3) Such notification shall be accompanied by the following representation:

Representation of Private Development

The Offeror/Contractor/Subcontractor represents that, to the best of its knowledge and belief the information contained in this notification is current, accurate and complete.

Date _______

Name and Title

977 [C144]

This representation shall be dated and the signing official (identified by name and title) shall be duly authroized to bind the Contractor.

- (4) Upon request the Contracting Officer, the Offeror or Contractor shall provide sufficient information to enable the ntracting Officer to identify and evaluate the Contractor's or subcontractor's assertions made in (j)(1) above.
- (k) Identification of restrictions on Government rights. Technical data and computer software shall not be tendered to the Government with other than unlimited rights, unless the technical data or computer software are identified in a list nade a part of this contract. This listing is intended to facilitate review and acceptance of the technical data and computer software by the Government and does not change, waive, or otherwise nodify the right or obligations of the parties under the clause at OFARS 252,227-7097. As a minimum, this listing must -
 - (1) Identify the items, components, processes, or computer software to which the restrictions on the Government apply:
 - (2) Identify or describe the technical data or computer software subject to other than unlimited rights; and
- (3) Identify or describe, as appropriate the category or categories of Government rights, the agreed-to-time limitations or any special restrictions on the use of disclosure of the technical data or computer software.
 - (1) Postamard Megotiation Disputes.

In the case of an item, component, or process that is developed in part with Government funds and in part at private expense, if, after exhausting all reasonable efforts, the parties fail to agree on the apportionment of the rights in technical data furnished under this contract by the date established in the contract for agreement, or within any extension established by the Contracting Officer, then the Contracting Officer mag establish the respective data rights of the parties, subject to Contractor appeal as provided in the Disputes clause. In any event, the Contractor shall proceed with completion of the contract.

(End of clause)

TIDENTIFICATION OF RESTRICTED RIGHTS COMPUTER SOFTWARE
252,227-7019

(88P1 1988)

Ref. No. of Doc. Being Contd.: DANHO1-89-C-0336 CONTINUATION SHEET

Yane of Offeror or Contractor: LTV AEROSPACE AND DEFENSE CO

Page 111 of 127

The Offeror is required to identify in his proposal, to the extent feasible, any such computer software which was developed at private expense and upon the use of which it desires to negotiate restrictions, and to state the nature of the proposed restrictions. Any restrictions on the Government's use or disclosure of computer software developed at private expense and to be delivered under the contract must be set forth in an agreement nade a part of the contract, either negotiated prior to award or included in a modification of the contract before such delivery. If no such computer software is identified, all deliverable computer software will be subject to unlimited rights.

(End of provision)

I-8 TECHNICAL DATA - UITHHOLDING OF PAYMENT 252,221-1030

COCT 1988)

- (a) If technical data specified to be delivered under this contract, is not delivered within the time specified by this contract or is deficient upon delivery (including having restrictive markings not identified in the list described in the clause at 252.227-7013(k) of this contract), the Contracting Officer may until such data is accepted by the Government, withhold payment to the Contractor of ten percent (10%) of the total contract price or amount unless a lesser withholding is specified in the contract. Payments shall not be withheld nor any other action taken pursuant to this paragraph when the Contractor's failure to make timely delivery or to deliver such data without deficiencies arises out of causes beyond the control and without the fault or negligence of the Contractor.
- (b) The withholding of any amount or subsequent payment to the Contractor shall not be construed as a mainer of any rights ——uring to the Government under this contract.

(End of clause)

I-9 DATA REQUIREDENTS 252,227-7031

(OCT 1988)

The Contractor is required to deliver only the data items listed on the DD Form 1423 (Contract Bata Requirements List) and data items identified in and deliverable under any contract clause of FAR Support 52.2 and DoD FAR Supplement Support 52.2 made a part of the contract.

(End of clause)

I-10 PALIDATION OF RESTRICTIVE MARKINGS ON TECHNICAL DATA 252,227-7037

(APR 1988)

- (a) <u>Definition</u>. The terms used in this clause are defined in the clause at DFARS 252.227-7013 of the Department of Defense Federal Acquisition Regulation Supplement (DFARS).
- (b) <u>Justification</u>. The Contractor or subcontractor at any tier is responsible for maintaining records sufficient to justify the validity of its markings that impose restrictions on the bovernment and others to use, duplicate, or disclose technical data delivered or required to be delivered under the contract or subcontract, and shall be prepared to furnish to the Contracting Officer a written justification for such restrictive markings in response to a challenge under paragraph (d) below.

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28

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CONTINUATION SHEET	Reference No. of Document Being Continued	Page 23 of 47
COMINGATIONSIEET	PIIN/SIIN DAAH01-98-C-0157 MOD/AM	D

Name of Offeror or Contractor: LOCKHEED MARTIN VOUCHT SYSTEMS

ION I - CONTRACT CLAUSES

NUTICE: The following solicitation provisions and/or contract clauses pertinent to this section are bereby incorporated by reference.

	Regulatory Cite	Nit1e	Date
1-1	52.262-1	DEFINITIONS	QCT/1995
1-2	52,203-3	GRATUITIES	APR/1984
1-3	52,203-5	COVENANT AGAINST CONTINGENT FEES	APR/1984
I-4	52.203-6	RESTRICTIONS ON SUBCONTRACTOR SALES TO THE GOVERNMENT	JUL/1995
I-5	52.203-7	ANTI-EICKERCK PROCEDURES	JUL/1995
1-6	52.203-10	PRICE OR FEE ADJUSTMENT FOR ILLEGAL DS IMPROPER ACTIVITY	JAN/1997
1-7	52,203-12	LIMITATION ON PAYMENTS TO INPLUENCE CENTAIN FEDERAL TRANSACTIONS	JUN/1997
1-8	52.204-2	SECURITY REQUIREMENTS	AUG/1996
1-9	52.204-4	PRINTING/COPYING DOUBLE-SIDED ON RECYCLED PAPER	JUN/1996
1-10	52,709-6	PROTECTING THE GOVERNMENT'S INTEREST WHEN SUBCONTRACTING WITH	JUL/1995
		CONTRACTORS	
		DEBARRED. SUSPENDED, OR PROPOSEU FOR DEBARMENT	
I-11	52.211-5	NATERIAL REQUIREMENTS	OCT/1997
1-12	52.211-15	DEFENSE PRIORITY AND ALLOCATION REQUIREMENTS	SEP/1996
1-13	52.215-2	AUDIT AND RECORDS - NEGOTIATION	AUG/1996
1-14	52,215-8	ORDER OF PRECEDENCE - UNIFORM CONTRACT FORMAT	OCT/1997
1-15	52.215-9	CHANCES OR ADDITIONS TO MAKE-OR-BUY PROGRAM	OCT/1997
I-16	52,215-10	PRICE REDUCTION FOR DEFECTIVE COST OR PRICING DATA	OCT/1997
1-17	52.215-12	SUBCONTRACTOR COST OR PRICING DATA	OCT/1997
I-18	52.235-15	TEPMINATION OF DEFINED SENEFIT PENSION PLANS	OCT/1997
I-19	52.215-18	REVERSION OR ADJUSTMENT OF PLANS FOR POSTRETIREMENT BENEFITS (PRB)	OCT/1997
		OTHER THAN PERSIONS	
1-20	52.215-19	NOTIFICATION OF OWNERSHIP CHANGES	OCT/1997
23	52.216-7	ALLOWABLE COST AND PAYMENT	APR/1998
*	52,216-8	PIXED YEE	MAR/1997
	52,219-8	UTILIZATION OF SMALL, SMALL DISANVANTAGED, AND WOMEN-CHEED SMALL	JUN/1997
		BUSINESS CONCERNS	
1-24	52.222-3	COMVICT LABOR	AUG/1996
1-25	52.722-4	CONTRACT WORK HOURS AND SAPETY STANDARDS ACT - OVERTIME COMPENSATION	JUL/1995
Y-26	52.222-26	EQUAL OPPORTUNITY	APR/1984
1-27	52.222-28	EQUAL OPPORTUNITY PREAMARD CLEAPANCE OF SUBCONTRACTS	APR/1964
1-28	52.222-29	NOTIFICATION OF VISA DENIAL	AFR/1984
1-29	52.222-35	AFFIRMATIVE ACTION FOR DISABLED VETERANS AND VETERANS OF THE VIETNAM ERA	APR/1998
1-30	52,222-36	AFFIRMATIVE ACTION FOR BANDICAPPED WORKERS	APR/1984
1-31	52.222-37	EMPLOYMENT REPORTS ON DISABLED VETERANS AND VETERANS OF THE VIETNAM	APR/1998
1-32	52.223-2	CLEAN AIR AND WATER	APR/1984
1-33	52,223-5	POLLUTION PREVENTION AND RIGHT-TO-KNOW INFORMATION	APR/1998
1-34	52.223-6	DRUG-FREE WORKPLACE	JAN/1997
1-35	52.225-10	DUTY-FREE ENTRY	APR/1984
1-36	52.225-11	PERTRICTIONS ON CEPTAIN FOREIGN FURCHASES	OCT/1996
7-37	52.226-1	UTILIZATION OF INDIAN OPGANIZATIONS AND INDIAN-OWNED SCONCHIC	SEP/1996
2"4"	~*.***-*	ENTERPRISES	520, 1730
1-38	52,227-1	AUTHORIZATION AND CONSENT	JUL/1995
1-39	52.227-1	AUTHORIZATION AND CONSENT (JUL 95) - ALTERNATE 1	AFR/1984
I-40	52,227-7	NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT DAYRINGEMENT	AUG/1996
I-41	52,227-10	FILING OF PATENT APPLICATIONS - CLASSIFIED SUBJECT MATTER	APR/1984
1-42	52.227-12	FATENT RIGHTS - RETENTION BY THE CONTRACTOR (LONG FORM)	JAN/1997
1-43	52,227-13	PATENT RIGHTS - ACQUISITION BY THE GOVERNMENT	JAN/1997
I-44	52.228-7	INSURANCE - LIABILITY TO THIRD PERSONS	MAR/1996
I-45	52.230-2	COST ACCOUNTING STANDARDS	APR/1998
T-45	52.230-6	ADMINISTRATION OF COST ACCOUNTING STANDARDS	APR/1996
1-47	52.232-9	LIMITATION ON WITHHOLDING OF PAYMENTS	APR/1984
- 8	52.232-17	INTEREST	JUN/1996
	52.232-20	LIMITATION OF COST	APR/1984
	52.232-23	ASSIGNMENT OF CLAIMS	JAN/1986
1-51	52.232-25	PROMPT PAYMENT	JUN/1997
	W. W.		ww.11 4.27 i

CONTINUATION SHEET	Reference No. of Document Being	Continued	Page 34 of 47
	PIIN/SIIN DAN/101-98-C-0157	MODIAMD	

Name of Offeror or Contractor: LOCKHEED MARTIN VOUGHT SYSTEMS Regulatory Cite Title Date 52 237-33 MANDATORY INFORMATION FOR ELECTRONIC FUNDS TRANSFER PAYMENT ABC/1996 1-53 52.233-1 OCT/1995 52.233-3 2-54 PROTEST APTER AWARD (AUG 96) - ALTERNATE I JUN/1985 1-55 52,237-2 PROTECTION OF GOVERNMENT BUILDINGS, EQUIPMENT, AND VEGETATION APR/1984 52.242-1 NOTICE OF INTENT TO DISALLOW COSTS 1 - 55 APE/1984 1-57 52.242-3 PENALTIES FOR UNALLOWABLE COSTS OCT/1995 CERTIFICATION OF FINAL INDIRECT COSTS 1-58 52.242-4 JAM/1997 52.242-13 1-59 BANKFUPTCY JUL/1995 52.243-2 CHANGES - COST-REIMBURSEMENT (1987 AUG) - ALTERNATE I 1-60 APR/1984 SUBCONTRACTS (COST-REIMBURSEMENT AND LETTER CONTRACTS) (OCT 97) -1-61 52.244-2 AUG/1996 ALTERNATE I 1-62 52.244-5 COMPETITION IN SUBCONTRACTING DEC/1996 1-63 52.246-25 LINITATION OF LIABILITY - SERVICES FEB/1997 1-64 52.247-63 PREFERENCE FOR U.S.-FLAC AIR CAPRIERS APR/1984 VALUE ENGINEERING 2-65 52.248-1 MAR/1989 1-66 52.249-6 TERMINATION (COST-REIMBURGINGERT) SEP/1996 1-67 52.249-14 EXCUSABLE DELAYS APR/1984 I-68 52.253-1 COMPUTER GENERATED FORMS JAN/1991 *** THIS REFERENCE (LACTIC) IS NO LONGER VALID *** 1-69 1-70 252.201-7600 CONTRACTING OFFICER'S REPRESENTATIVE DEC/1991 1-71 52.203-8 CANCELLATION, RECISSION, AND RECOVERY OF FUNDS FOR ILLEGAL OR JAN/1997 IMPROPER ACTITVITY 2.72 252,203-7001 SPECIAL PROBLETTION ON EMPLOYMENT JUN/1997 1-73 252, 203-7002 DISPLAY OF DOD COTLINE POSTER DEC/1991 1-74 252.204-7000 DISCLOSURE OF INFORMATION DEC/1991 1-75 252.204-7003 CONTROL OF GOVERNMENT PERSONNEL WORK PRODUCT APR/1992 1-76 252,205-7000 PROVISION OF INFORMATION TO COOPERATIVE AGREEMENT HOLDERS DEC/1991 1-77 252.209-7000 ACQUISITION FROM SUBCONTRACTORS SUBJECT TO ON-SITE INSPECTION UNDER NOV/1995 THE INTERMEDIATE-RANGE MUCLEAR FORCES (INF) TREATY 78 SUBCONTRACTING WITH FIRMS THAT ARE OWNED OR CONTROLLED BY THE MAR/1998 252.209~7004 GOVERNMENT OF A TEPRORIST COUNTRY DEC/1991 252,215-7000 PRICING ADJUSTMENTS COST ESTIMATING SYSTEM REQUIREMENTS (FEDERAL REGISTER, VOL 62, NO. . -80 252,215-700# JULY 1997 EXERCISE OF OPTION TO FULPILL FOREIGN MILITARY SALES COMMITMENTS (DEC DEC/1991 1-81 252,217-7000 1991) - ALTERNATE I COMPLIANCE WITH LOCAL LABOR LAWS (OVERSEAS) 1-82 252,222-7002 JUN/1997 1-83 252,223-7004 DRUG-FREE WORK PORCE SEP/1988 1-84 252,223-7006 PROHIBITION ON STORAGE AND DISPOSAL OF TOXIC AND HAZARDOUS MATERIALS APR/1993 BUY AMERICAN ACT AND BALANCE OF PAYMENTS PROGRAM 1-85 252,225-7001 MAR/1998 1-86 252,225-7002 QUALIFYING COUNTRY SOURCES AS SUBCONTRACTORS DEC/1991 DUTY-FREE ENTRY - QUALIFYING COUNTRY SUPPLIES (END PRODUCTS AND 1-87 252.225-7009 MAR/1998 COMPONENTS I 252.225-7010 DUTY-PREE ENTRY - ADDITIONAL PROVISIONS 1-88 MAR/1998 PREFERENCE FOR CERTAIN DOMESTIC COMMODITIES (FEDERAL REGISTER, VOL 1-89 252.225-7012 SEP/1997 62. NO 1731 252.225-7016 RESTRICTION ON ACQUISITION OF BALL AND ROLLER BEARINGS 1-90 PEB/1998 252,225-7025 RESTRICTION ON ACQUISITION OF PORGINGS 1-91 JUN/1997 1-92 252.225-7026 REPORTING OF CONTRACT PERFCRMANCE OUTSIDE THE UNITED STATES 1-93 252.225-7028 EXCLUSIONARY POLICIES AND FRACTICES OF FOREIGN COVERNMENTS DEC/1991 1-94 252,225-7031 SECONDARY ARAB BOYCOTT OF ISRAEL JUN/1992 1-95 252.225-7032 WAIVER OF UNITED KINGDOM LEVIES OCT/1992 252.225-7037 1-96 CUTY-PREE ENTRY - ELIGIBLE END PRODUCTS MAR/1998 1-97 252.225-7041 CORRESPONDENCE IN ENGLISH JUN/1997 252,225-7042 1-98 AUTHORIZATION TO PERFORM JUN/1997 I-99 252.227-7013 RIGHTS IN TECHNICAL DATA-NONCOMMERCIAL ITEMS NOV/1995 I-100 252 227-7014 RIGHTS IN NONCOMMERCIAL COMPUTER SOFTWARE AND NONCOMMERCIAL COMPUTER JUN/1995 SOFTWARE DOCUMENTATION 1-101 252.227-7016 RIGHTS IN BID OR PROPOSAL INFORMATION JUN/1995 1-102 252,227-7019 VALIDATION OF ASSERTED RESTRICTIONS - COMPUTER SOFTWARE JUN/1995 73 252,227-7025 LIMITATIONS ON THE USE OF DISCLOSURE OF GOVERNMENT-FORNISHED JUN/1995 INFORMATION MARKED WITH RESTRICTIVE LEGENDS 252.227-7030 TECHNICAL DATA--WITHHOLDING OF PAYMENT OCT/1988 1-105 252,227-7037 VALIDATION OF RESTRICTIVE MARKINGS ON TECHNICAL DATA NOV/1995

28B

252.227-7013 Rights in Technical Data-Noncommercial Items.

As prescribed in 227.7103-6(a), use the following clause:

RIGHTS IN TECHNICAL DATA--NONCOMMERCIAL ITEMS (NOV 1995)

- (a) Definitions. As used in this clause:
 - (1) "Computer data base" means a collection of data recorded in a form capable of being processed by a computer. The term does not include computer software.
 - (2) "Computer program" means a set of instructions, rules, or routines recorded in a form that is capable of causing a computer to perform a specific operation or series of operations.
 - (3) "Computer software" means computer programs, source code, source code listings, object code listings, design details, algorithms, processes, flow charts, formulae and related material that would enable the software to be reproduced, recreated, or recompiled. Computer software does not include computer data bases or computer software documentation.
 - (4) "Computer software documentation" means owner's manuals, user's manuals, installation instructions, operating instructions, and other similar items, regardless of storage medium, that explain the capabilities of the computer software or provide instructions for using the software.
 - (5) "Detailed manufacturing or process data" means technical data that describe the steps, sequences, and conditions of manufacturing, processing or assembly used by the manufacturer to produce an item or component or to perform a process.
 - (6) "Developed" means that an item, component, or process exists and is workable. Thus, the item or component must have been constructed or the process practiced. Workability is generally established when the item, component, or process has been analyzed or tested sufficiently to demonstrate to reasonable people skilled in the applicable art that there is a high probability that it will operate as intended. Whether, how much, and what type of analysis or testing is required to establish workability depends on the nature of the item, component, or process, and the state of the art. To be considered "developed," the item, component, or process need not be at the stage where it could be offered for sale or sold on the commercial market, nor must the item, component, or process be actually reduced to practice within the meaning of Title 35 of the United States Code.
 - (7) "Developed exclusively at private expense" means development was accomplished entirely with costs charged to indirect cost pools, costs not allocated to a government contract, or any combination thereof.
 - (i) Private expense determinations should be made at the lowest practicable level.
 - (ii) Under fixed-price contracts, when total costs are greater than the firm-fixed-price or ceiling price of the contract, the additional development costs necessary to complete development shall not be considered when determining whether development was at government, private, or mixed expense.

- (8) "Developed exclusively with government funds" means development was not accomplished exclusively or partially at private expense.
- (9) "Developed with mixed funding" means development was accomplished partially with costs charged to indirect cost pools and/or costs not allocated to a government contract, and partially with costs charged directly to a government contract.
- (10) "Form, fit, and function data" means technical data that describes the required overall physical, functional, and performance characteristics (along with the qualification requirements, if applicable) of an item, component, or process to the extent necessary to permit identification of physically and functionally interchangeable items.
- (11) "Government purpose" means any activity in which the United States Government is a party, including cooperative agreements with international or multi-national defense organizations, or sales or transfers by the United States Government to foreign governments or international organizations. Government purposes include competitive procurement, but do not include the rights to use, modify, reproduce, release, perform, display, or disclose technical data for commercial purposes or authorize others to do so.
- (12) "Government purpose rights" means the rights to-
 - (i) Use, modify, reproduce, release, perform, display, or disclose technical data within the Government without restriction; and
 - (ii) Release or disclose technical data outside the Government and authorize persons to whom release or disclosure has been made to use, modify, reproduce, release, perform, display, or disclose that data for United States government purposes.
- (13) "Limited rights" means the rights to use, modify, reproduce, release, perform, display, or disclose technical data, in whole or in part, within the Government. The Government may not, without the written permission of the party asserting limited rights, release or disclose the technical data outside the Government, use the technical data for manufacture, or authorize the technical data to be used by another party, except that the Government may reproduce, release or disclose such data or authorize the use or reproduction of the data by persons outside the Government if reproduction, release, disclosure, or use is-
 - (i) Necessary for emergency repair and overhaul; or
 - (ii) A release or disclosure of technical data (other than detailed manufacturing or process data) to, or use of such data by, a foreign government that is in the interest of the Government and is required for evaluational or informational purposes;
 - (iii) Subject to a prohibition on the further reproduction, release, disclosure, or use of the technical data; and
 - (iv) The contractor or subcontractor asserting the restriction is notified of such reproduction, release, disclosure, or use.
- (14) "Technical data" means recorded information, regardless of the form or method of the recording, of a scientific or technical nature (including computer software documentation).

The term does not include computer software or data incidental to contract administration, such as financial and/or management information.

- (15) "Unlimited rights" means rights to use, modify, reproduce, perform, display, release, or disclose technical data in whole or in part, in any manner, and for any purpose whatsoever, and to have or authorize others to do so.
- (b) Rights in technical data. The Contractor grants or shall obtain for the Government the following royalty free, world-wide, nonexclusive, irrevocable license rights in technical data other than computer software documentation (see the Rights in Noncommercial Computer Software and Noncommercial Computer Software Documentation clause of this contract for rights in computer software documentation):
 - (1) Unlimited rights. The Government shall have unlimited rights in technical data that are-
 - (i) Data pertaining to an item, component, or process which has been or will be developed exclusively with Government funds;
 - (ii) Studies, analyses, test data, or similar data produced for this contract, when the study, analysis, test, or similar work was specified as an element of performance;
 - (iii) Created exclusively with Government funds in the performance of a contract that does not require the development, manufacture, construction, or production of items, components, or processes:
 - (iv) Form, fit, and function data:
 - (v) Necessary for installation, operation, maintenance, or training purposes (other than detailed manufacturing or process data):
 - (vi) Corrections or changes to technical data furnished to the Contractor by the Government;
 - (vii) Otherwise publicly available or have been released or disclosed by the Contractor or subcontractor without restrictions on further use, release or disclosure, other than a release or disclosure resulting from the sale, transfer, or other assignment of interest in the technical data to another party or the sale or transfer of some or all of a business entity or its assets to another party;
 - (viii) Data in which the Government has obtained unlimited rights under another Government contract or as a result of negotiations; or
 - (ix) Data furnished to the Government, under this or any other Government contract or subcontract thereunder, with-
 - (A) Government purpose license rights or limited rights and the restrictive condition(s) has/have expired; or
 - (B) Government purpose rights and the Contractor's exclusive right to use such data for commercial purposes has expired.

- (2) Government purpose rights.
 - (i) The Government shall have government purpose rights for a five-year period, or such other period as may be negotiated, in technical data-
 - (A) That pertain to items, components, or processes developed with mixed funding except when the Government is entitled to unlimited rights in such data as provided in paragraphs (b)(ii) and (b)(iv) through (b)(ix) of this clause; or
 - (B) Created with mixed funding in the performance of a contract that does not require the development, manufacture, construction, or production of items, components, or processes.
 - (ii) The five-year period, or such other period as may have been negotiated, shall commence upon execution of the contract, subcontract, letter contract (or similar contractual instrument), contract modification, or option exercise that required development of the items, components, or processes or creation of the data described in paragraph (b)(2)(i)(B) of this clause. Upon expiration of the five-year or other negotiated period, the Government shall have unlimited rights in the technical data.
 - (iii) The Government shall not release or disclose technical data in which it has government purpose rights unless-
 - (A) Prior to release or disclosure, the intended recipient is subject to the nondisclosure agreement at 227.7103-7 of the Defense Federal Acquisition Regulation Supplement (DFARS); or
 - (B) The recipient is a Government contractor receiving access to the data for performance of a Government contract that contains the clause at DFARS 252.227-7025, Limitations on the Use or Disclosure of Government-Furnished Information Marked with Restrictive Legends.
 - (iv) The Contractor has the exclusive right, including the right to license others, to use technical data in which the Government has obtained government purpose rights under this contract for any commercial purpose during the time period specified in the government purpose rights legend prescribed in paragraph (f)(2) of this clause.
- (3) Limited rights.
 - (i) Except as provided in paragraphs (b)(1)(ii) and (b)(1)(iv) through (b)(1)(ix) of this clause, the Government shall have limited rights in technical data-
 - (A) Pertaining to items, components, or processes developed exclusively at private expense and marked with the limited rights legend prescribed in paragraph (f) of this clause; or
 - (B) Created exclusively at private expense in the performance of a contract that does not require the development, manufacture, construction, or production of items, components, or processes.

- (ii) The Government shall require a recipient of limited rights data for emergency repair or overhaul to destroy the data and all copies in its possession promptly following completion of the emergency repair/overhaul and to notify the Contractor that the data have been destroyed.
- (iii) The Contractor, its subcontractors, and suppliers are not required to provide the Government additional rights to use, modify, reproduce, release, perform, display, or disclose technical data furnished to the Government with limited rights. However, if the Government desires to obtain additional rights in technical data in which it has limited rights, the Contractor agrees to promptly enter into negotiations with the Contracting Officer to determine whether there are acceptable terms for transferring such rights. All technical data in which the Contractor has granted the Government additional rights shall be listed or described in a license agreement made part of the contract. The license shall enumerate the additional rights granted the Government in such data.
- (4) Specifically negotiated license rights. The standard license rights granted to the Government under paragraphs (b)(1) through (b)(3) of this clause, including the period during which the Government shall have government purpose rights in technical data, may be modified by mutual agreement to provide such rights as the parties consider appropriate but shall not provide the Government lesser rights than are enumerated in paragraph (a)(13) of this clause. Any rights so negotiated shall be identified in a license agreement made part of this contract.
- (5) Prior government rights. Technical data that will be delivered, furnished, or otherwise provided to the Government under this contract, in which the Government has previously obtained rights shall be delivered, furnished, or provided with the pre-existing rights, unless-
 - (i) The parties have agreed otherwise; or
 - (ii) Any restrictions on the Government's rights to use, modify, reproduce, release, perform, display, or disclose the data have expired or no longer apply.
- (6) Release from liability. The Contractor agrees to release the Government from liability for any release or disclosure of technical data made in accordance with paragraph (a)(13) or (b)(2)(iii) of this clause, in accordance with the terms of a license negotiated under paragraph (b)(4) of this clause, or by others to whom the recipient has released or disclosed the data and to seek relief solely from the party who has improperly used, modified, reproduced, released, performed, displayed, or disclosed Contractor data marked with restrictive legends.
- (c) Contractor rights in technical data. All rights not granted to the Government are retained by the Contractor.
- (d) Third party copyrighted data. The Contractor shall not, without the written approval of the Contracting Officer, incorporate any copyrighted data in the technical data to be delivered under this contract unless the Contractor is the copyright owner or has obtained for the Government the license rights necessary to perfect a license or licenses in the deliverable data of the appropriate scope set forth in paragraph (b) of this clause, and has affixed a statement of the license or

licenses obtained on behalf of the Government and other persons to the data transmittal document.

- (e) Identification and delivery of data to be furnished with restrictions on use, release, or disclosure.
 - (1) This paragraph does not apply to restrictions based solely on copyright.
 - (2) Except as provided in paragraph (e)(3) of this clause, technical data that the Contractor asserts should be furnished to the Government with restrictions on use, release, or disclosure are identified in an attachment to this contract (the Attachment). The Contractor shall not deliver any data with restrictive markings unless the data are listed on the Attachment.
 - (3) In addition to the assertions made in the Attachment, other assertions may be identified after award when based on new information or inadvertent omissions unless the inadvertent omissions would have materially affected the source selection decision. Such identification and assertion shall be submitted to the Contracting Officer as soon as practicable prior to the scheduled date for delivery of the data, in the following format, and signed by an official authorized to contractually obligate the Contractor:

Identification and Assertion of Restrictions on the Government's Use, Release,

or Disclosure of Technical Data.

The Contractor asserts for itself, or the persons identified below, that the Government's rights to use, release, or disclose the following technical data should be restricted-

Technical Data			Name of Person
to be Furnished	Basis for	Asserted Rights	Asserting
With Restrictions*	Assertion**	Category***	Restrictions****
(LIST)	(LIST)	(LIST)	(LIST)

- *If the assertion is applicable to items, components, or processes developed at private expense, identify both the data and each such item, component, or process.
- **Generally, the development of an item, component, or process at private expense, either exclusively or partially, is the only basis for asserting restrictions on the Government's rights to use, release, or disclose technical data pertaining to such items, components, or processes. Indicate whether development was exclusively or partially at private expense. If development was not at private expense, enter the specific reason for asserting that the Government's rights should be restricted.
- ***Enter asserted rights category (e.g., government purpose license rights from a prior contract, rights in SBIR data generated under another contract, limited or government purpose rights under this or a prior contract, or specifically negotiated licenses).
- ****Corporation, individual, or other person, as appropriate.

Date	
Printed Name and Title	
	we expect the description of the contract of
Signature	

(End of identification and assertion)

- (4) When requested by the Contracting Officer, the Contractor shall provide sufficient information to enable the Contracting Officer to evaluate the Contractor's assertions. The Contracting Officer reserves the right to add the Contractor's assertions to the Attachment and validate any listed assertion, at a later date, in accordance with the procedures of the Validation of Restrictive Markings on Technical Data clause of this contract.
- (f) Marking requirements. The Contractor, and its subcontractors or suppliers, may only assert restrictions on the Government's rights to use, modify, reproduce, release, perform, display, or disclose technical data to be delivered under this contract by marking the deliverable data subject to restriction. Except as provided in paragraph (f)(5) of this clause, only the following legends are authorized under this contract: the government purpose rights legend at paragraph (f)(2) of this clause; the limited rights legend at paragraph (f)(3) of this clause; or the special license rights legend at paragraph (f)(4) of this clause; and/or a notice of copyright as prescribed under 17 U.S.C. 401 or 402.
 - (1) General marking instructions. The Contractor, or its subcontractors or suppliers, shall conspicuously and legibly mark the appropriate legend on all technical data that qualify for such markings. The authorized legends shall be placed on the transmittal document or storage container and, for printed material, each page of the printed material containing technical data for which restrictions are asserted. When only portions of a page of printed material are subject to the asserted restrictions, such portions shall be identified by circling, underscoring, with a note, or other appropriate identifier. Technical data transmitted directly from one computer or computer terminal to another shall contain a notice of asserted restrictions. Reproductions of technical data or any portions thereof subject to asserted restrictions shall also reproduce the asserted restrictions.
 - (2) <u>Government purpose rights markings</u>. Data delivered or otherwise furnished to the Government with government purpose rights shall be marked as follows:

GOVERNMENT PURPOSE RIGHTS

Contract No.
Contractor Name
Contractor Address

Expiration Date

The Government's rights to use, modify, reproduce, release, perform, display, or disclose these technical data are restricted by paragraph (b)(2) of the Rights in Technical Data-Noncommercial Items clause contained in the above identified contract. No restrictions apply after the expiration date shown above. Any reproduction of technical data or portions

thereof marked with this legend must also reproduce the markings.

(End of legend)

(3) <u>Limited rights markings</u>. Data delivered or otherwise furnished to the Government with limited rights shall be marked with the following legend:

LIMITED RIGHTS

Contract No.
Contractor Name
Contractor Address

The Government's rights to use, modify, reproduce, release, perform, display, or disclose these technical data are restricted by paragraph (b)(3) of the Rights in Technical Data—Noncommercial Items clause contained in the above identified contract. Any reproduction of technical data or portions thereof marked with this legend must also reproduce the markings. Any person, other than the Government, who has been provided access to such data must promptly notify the above named Contractor.

(End of legend)

- (4) Special license rights markings.
 - (i) Data in which the Government's rights stem from a specifically negotiated license shall be marked with the following legend:

SPECIAL LICENSE RIGHTS

The Government's rights to use, modify, reproduce, release, perform, display, or disclose these data are restricted by Contract No. ____(Insert contract number)____, License No. ____(Insert license identifier)___. Any reproduction of technical data or portions thereof marked with this legend must also reproduce the markings.

(End of legend)

- (ii) For purposes of this clause, special licenses do not include government purpose license rights acquired under a prior contract (see paragraph (b)(5) of this clause).
- (5) Pre-existing data markings. If the terms of a prior contract or license permitted the Contractor to restrict the Government's rights to use, modify, reproduce, release, perform, display, or disclose technical data deliverable under this contract, and those restrictions are still applicable, the Contractor may mark such data with the appropriate restrictive legend for which the data qualified under the prior contract or license. The marking procedures in paragraph (f)(1) of this clause shall be followed.

- (g) Contractor procedures and records. Throughout performance of this contract, the Contractor and its subcontractors or suppliers that will deliver technical data with other than unlimited rights, shall-
 - (1) Have, maintain, and follow written procedures sufficient to assure that restrictive markings are used only when authorized by the terms of this clause; and
 - (2) Maintain records sufficient to justify the validity of any restrictive markings on technical data delivered under this contract.
- (h) Removal of unjustified and nonconforming markings.
 - (1) Unjustified technical data markings. The rights and obligations of the parties regarding the validation of restrictive markings on technical data furnished or to be furnished under this contract are contained in the Validation of Restrictive Markings on Technical Data clause of this contract. Notwithstanding any provision of this contract concerning inspection and acceptance, the Government may ignore or, at the Contractor's expense, correct or strike a marking if, in accordance with the procedures in the Validation of Restrictive Markings on Technical Data clause of this contract, a restrictive marking is determined to be unjustified.
 - (2) Nonconforming technical data markings. A nonconforming marking is a marking placed on technical data delivered or otherwise furnished to the Government under this contract that is not in the format authorized by this contract. Correction of nonconforming markings is not subject to the Validation of Restrictive Markings on Technical Data clause of this contract. If the Contracting Officer notifies the Contractor of a nonconforming marking and the Contractor fails to remove or correct such marking within sixty (60) days, the Government may ignore or, at the Contractor's expense, remove or correct any nonconforming marking.
- (i) Relation to patents. Nothing contained in this clause shall imply a license to the Government under any patent or be construed as affecting the scope of any license or other right otherwise granted to the Government under any patent.
- (j) Limitation on charges for rights in technical data.
 - (1) The Contractor shall not charge to this contract any cost, including, but not limited to, license fees, royalties, or similar charges, for rights in technical data to be delivered under this contract when-
 - (i) The Government has acquired, by any means, the same or greater rights in the data: or
 - (ii) The data are available to the public without restrictions.
 - (2) The limitation in paragraph (j)(1) of this clause-
 - (i) Includes costs charged by a subcontractor or supplier, at any tier, or costs incurred by the Contractor to acquire rights in subcontractor or supplier technical data, if the subcontractor or supplier has been paid for such rights under any other Government

contract or under a license conveying the rights to the Government; and

- (ii) Does not include the reasonable costs of reproducing, handling, or mailing the documents or other media in which the technical data will be delivered.
- (k) Applicability to subcontractors or suppliers.
 - (1) The Contractor shall ensure that the rights afforded its subcontractors and suppliers under 10 U.S.C. 2320, 10 U.S.C. 2321, and the identification, assertion, and delivery processes of paragraph (e) of this clause are recognized and protected.
 - (2) Whenever any technical data for noncommercial items is to be obtained from a subcontractor or supplier for delivery to the Government under this contract, the Contractor shall use this same clause in the subcontract or other contractual instrument, and require its subcontractors or suppliers to do so, without alteration, except to identify the parties. No other clause shall be used to enlarge or diminish the Government's, the Contractor's, or a higher-tier subcontractor's or supplier's rights in a subcontractor's or supplier's technical data.
 - (3) Technical data required to be delivered by a subcontractor or supplier shall normally be delivered to the next higher-tier contractor, subcontractor, or supplier. However, when there is a requirement in the prime contract for data which may be submitted with other than unlimited rights by a subcontractor or supplier, then said subcontractor or supplier may fulfill its requirement by submitting such data directly to the Government, rather than through a higher-tier contractor, subcontractor, or supplier.
 - (4) The Contractor and higher-tier subcontractors or suppliers shall not use their power to award contracts as economic leverage to obtain rights in technical data from their subcontractors or suppliers.
 - (5) In no event shall the Contractor use its obligation to recognize and protect subcontractor or supplier rights in technical data as an excuse for failing to satisfy its contractual obligation to the Government.

(End of clause)

ALTERNATE I (JUN 1995)

As prescribed in 227.7103-6(b), add the following paragraph (l) to the basic clause:

- (1) Publication for sale.
 - (1) This paragraph only applies to technical data in which the Government has obtained unlimited rights or a license to make an unrestricted release of technical data.
 - (2) The Government shall not publish a deliverable technical data item or items identified in this contract as being subject to paragraph (l) of this clause or authorize others to publish such data on its behalf if, prior to publication for sale by the Government and within twenty-four (24) months following the date specified in this contract for delivery of such data or the removal of any national security or export control restrictions, whichever is later,

the Contractor publishes that item or items for sale and promptly notifies the Contracting Officer of such publication(s). Any such publication shall include a notice identifying the number of this contract and the Government's rights in the published data.

(3) This limitation on the Government's right to publish for sale shall continue as long as the data are reasonably available to the public for purchase.

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29

29A

PHN/SHN DAMH91-01-C-0141 MOD/AMD	CONTINUATION SHEET	Reference No. of Document Being Continued	Page 30 of 41
		PHN/SUN DAMH01-01-C-0141 MOD/AMD	

Name of Offeror or Contractor: LOCKHEED MARTIN CORP

SECTION 1 - CONTRACT CLAUSES

NOTICE: The following edificitation provisions and/or contract clauses pertinent to this section are hereby incorporated by reference.

	Regulatory Cite	Title	Date
1 - 1	52,202-1	DEFINITIONS	MAY/2001
2-2	52,293-3	GRATUITIES	APR/1984
1-3	52,203-5	COVERNAT AGAINST CONTINGENT FRES	APR/1984
1-4	52.203-6	restrictions on subcontractor sales to the government	JUL/1695
1-5	52.203.7	ANTI-KICKBACK PROCEDURES	JUL/1995
1-6	52.205-20	PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR IMPROPER ACTIVITY	JAN/1997
1-7	52 203-12	LIMITATION ON FAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS	JUN/1997
I-8	52.204-2	SECURITY REQUIREMENTS	AUG/1996
I-9	\$2,284~4	PRINTED OR COPIED DOUBLE-SIDED ON RECYCLED PAPER	ADG/2000
1-10	52.209-6	PROTECTING THE GOVERNMENT'S INTEREST WHEN SUBCONTRACTING WITE CONTRACTORS	JUL/1995
		DEBARRED, SUSPENDED, OR PROPOSED FOR DEBARMENT	
I-11	51.211-15	DEFENSE PRIGRITY AND ALLOCATION REQUIREMENTS	SEP/1993
1-12	52.215-2	AUDIT AND RECORDS - NEGOTIATION	JUN/1999
I-13	52.215-8	ORDER OF PRECEDENCE - UNIFORM CONTRACT FORMAT	OCT/1997
7-14	52.215-10	PRICE REDUCTION FOR DEFECTIVE COST OR FRICING DATA	OCT/1997
1-15	52.215-12	SUBCONTRACTOR COST OR PRICING DATA	OCT/1997
1-16	57.215-15	PENSION ADJUSTMENTS AND ASSET REVERSIONS	DEC/1998
		REVERSION OR ADJUSTMENT OF PLANS POR POSTRETIREMENT BENEFITS (PRE)	007/1997
1-17	57.215-18	OTHER THAN PENSIONS	00231377
* **	CS 374.10	NOTIFICATION OF OWNERSHIP CHANGES	OCT/1997
7-18	52.215-19	ALLOWABLE COST AND PAYMENT	MAR/2000
I-19	52,216-7		
1-20	52.216-8	FIXED FEE	NAR/1997
3-21	52.219-8	UTILIZATION OF SMALL BUSINESS CONCERNS	OCT/2000 JAN/1999
1-22	52.219-16	LIQUIDATED DAMAGES - SUBCONTRACTING PLAN	
1-23	52.222-3	CONVICT LABOR CONTRACT HORE HOURS AND SAFETY STANDARDS ACT - OVERTIME COMPENSATION	AUG/1996
1-24	52.222-4		SEF/2000
1-25	52.222-26	EQUAL OPPORTUNITY	FEB/1999
1-26	52.222-29	notification of Yisa Denial Applicative action for disabled veterans and veterans of the Vietnam	FEB/1999 AVR/1998
1-27	52.222-35	FER	A48/1378
1.29	52,222-36	AFFIRMATIVE ACTION POR WORKERS WITE DISABILITIES	JUN/1998
1-29	52.222-37	EMPLOYMENT REPORTS ON DISABLED VETERANS AND VETERANS OF THE VIETNAM	JAN/1999
2~47	34.444737	ERA	un, 1973
1-10	52,225.6	DRUG-FRED WORLPLACE	HXX/2001
1.31	52.225-13	restrictions on Certain Poreign Purchases	JUL/2000
1-32	52.226-1	UTILIZATION OF INDIAN ORGANIZATIONS AND INDIAN-OWNED SCONOMIC ENTERPRISES	JUN/2000
1-33	52.227-1	AUTHORIZATION AND CONSENT	JUL/1995
1-34	52.227-2	MOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGENEUT	ADG/1996
1-35	52.227-10	FILING OF PATENT APPLICATIONS . CLASSIFIED SUBJECT MATTER	APR/1984
1-36	52.228-7	INSURANCE - LIABILITY TO THIRD PERSONS	MAR/1996
1-37	52 . 229 - 3	PEDERAL, STATE, AND LOCAL TAXES	JAN/1991
1-3#	52.230-2	COST ACCOUNTING STANDARDS	APR/1998
1-39	52.239-3	DISCLOSURE AND CONSISTENCY OF COST ACCOUNTING PRACTICES	APR/1998
1-40	52,230-6	ADMINISTRATION OF COST ACCOUNTING STANDARDS	MOV/1999
1-41	52.232-17	INTEREST	JUN/1996
1-42	52,232-20	LIMITATION OF COST	APR/1984
1-43	52.232-22		-
1-44	52.232-23	LINITATION OF FUNDS ASSIGNMENT OF CLAIMS	APR/1984
			JAN/1986
1-45	52.232-25	PROMOT PAYMENT	HAY/2001
I-4ē	52.232-33	PAYMENT BY ELECTRONIC FUNDS TRANSFER - CENTRAL CONTRACTOR REGISTRATION	MAY/1999
1-47	52.233-1	DISPUTES	DEC/1998
1-48	52.233-3	PROTEST APTER AWARD (AUG 96) - ALTERNATE 1	JUN/1985
1-49	52.242-1	NOTICE OF INTENT TO DISALLOW COSTS	APR/1984
1-50	52.242-4	CERTIFICATION OF FINAL INDIRECT COSTS	JAN/1997
I-51	52,242-10	F.O.B. ORIGIN-GOVERNMENT BILLS OF LADING OR PREVAID POSTAGE	APR/1964
* " " "			
1-52	52.242-11	F.O.B. ORIGIN - GOVERNMENT BILLS OF LABING OR INDICIA MAIL	FEB/1991

CONTINUATION SHEET	Reference No. of Document Being Continued		Page 31 of 41			
CONTINUATION SHEET	PHN/SHN DAAH01-01-C-0141	MOD/AMD				
Name of Offeror or Contractor: LOCKHEED MARTIN CORF						

	Requistory Cite	Title	Date
1-54	52.243-2	CHANGES - COST-REIMBURSEMENT (1987 AUC) - ALTERNATE I	APR/1984
1-55	52.245-18	SPECIAL TEST BOUIPHENT	FEB/1993
1.56	\$2.245-19	GOVERNMENT PROFERTY FURNISHED 'AS IS'	APR/1984
1-57	52.246-25	LIMITATION OF LIABILITY - SERVICES	FEB/1997
1.58	52 249 6	TERMINATION (COST-REIMBURSEMENT)	SEP/1996
1-59	52.249-14	EXCUSABLE DELAYS '	APR/1984
1.60	52.263-1	COMPUTER GENERATED FORMS	JAN/1991
1-61	52.203-8	CANCELLATION, RECISSION, AND PECOVERY OF FUNDS FOR HILEGAL OR IMPROPER ACTIVITY	JAN/1997
1-62	252,203-7961	PROMIBITION ON PERSONS COMVICTED OF FRAUD OR OTHER DEFENSE-CONTRACT- RELATED PELCHIES	MAR/1999
1-63	252,204-7003	CONTROL OF GOVERNMENT VERSCHOLEL WORK PRODUCT	APR/1992
1~64	252,205-7000	PROVISION OF INFORMATION TO COOPERATIVE AGREEMENT HOLDERS	DEC/1991
1-65	252,209-7000	ACQUISITION FROM SUBCONTRACTORS SUBJECT TO ON-SITE INSPECTION UNDER THE INTERMEDIATE-RANGE NUCLEAR FORCES (INF) TREATY	XXV/1995
1-66	352.209-7504	SUBCONTRACTING WITH FIRMS THAT ARE OWNED OR CONTROLLED BY THE GOVERNMENT OF A TERRORIST COUNTRY	MAD/1598
1-67	252.215.7000	PRICING ADJUSTMENTS	DEC/1991
1-68	252,215-7002	COST ESTIMATING SYSTEM REQUIREMENTS	OCT/1998
1-69	252.219.7003	SMALL, SMALL DISACVANTAGED AND WOMEN-OWNED SMALL BUSINESS SUBCONTRACTING PLAN (DOD CONTRACTS)	APR/1996
1-76	252,225-7001	BUY AMERICAN ACT AND BALANCE OF PAYMENTS PROGRAM	MAR/1996
1-71	252,225-7002	CUELLIFYING COUNTRY SOURCES AS SUBCOSTRACTORS	DEC/199:
1-72	252.225-7013	PREPERENCE FOR CERTAIN DOMESTIC COMMODITIES	AUG/2000
1-73	252.225-7016	RESTRICTION ON ACQUISITION OF BALL AND ROLLER SEARINGS	080/2000
1-74	252.225-7026	REPORTING OF CONTRACT PERPORMANCE OUTSIDE THE UNITED STATES	JUN/2000
1-75	252,225-7031	SECONDARY ARAB BOYCOTT OF ISRAEL	JUM/1992
1-76	252.225-7032	WAIVER OF UNITED KINGDOM LEVIES	OCT/1992
1-77	252.227-7013	RIGHTS IN TECHNICAL DATA-HONCOMMERCIAL ITEMS	NOV/1995
1-78	252,237-7036	CERTIFICATION OF TECHNICAL DATA CONFORMITY	MAY/1987
1-79	252,231-7000	SUPPLEMENTAL COST PRINCIPLES	DEC/1991
1-80	252.242-7000	POSTAWARD CONFERENCE	DBC/1991
1-81	252.242-7604	MATERIAL MANAGEMENT AND RECOURTING SYSTEM	D%C/2000
1-82	252 243-7602	REQUESTS FOR EQUITABLE ADJUSTMENT	MAR/1998
1-83	252.245-7001	REPORTS OF COVERNMENT PROPERTY	MAY/1594
1-84	252.248-7000	PREPARATION OF VALUE ENGINEERING CHANGES PROPOSALS	MAY/1994
1-05	252,249-7002	NOTIFICATION OF ANTICIPATED CONTRACT TERMINATION OR REDUCTION	DEC/1996
1-86	52 222-2	PAYMENT POR OVERTIME PREMIUMS	JUL/1990
*Insert 255	io in the blank in pa	ragraph (a) within the above referenced clause.	

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I-97 52 244-2 SUBCONTRACTS (AUG 98) - ALTERNATE I AUG/1998

⁽a) Definitions. As used in this clause --

[&]quot;Approved purchasing system" means a Contractor's purchasing system that has been reviewed and approved in accordance with Part 44 of the Federal Acquisition Regulation (FAR).

[&]quot;Consent to subcontract" means the Contracting Officer's written consent for the Contractor to enter into a particular subcontract

[&]quot;Subcontract" means any contract, as defined in FAP Subpart 2 1, entered into by a subcontractor to furnish supplies or services for performance of the prime contract or a subcontract. It includes, but is not limited to, purchase orders, and changes and modifications to purchase orders.

⁽b) This clause does not apply to subcontracts for special test equipment when the contract contains the clause at PAR 52.245-18, Special Test Equipment.

⁽c) When this clause is included in a fixed-price type contract, comeent to subcontract is required only on unpriced contract actions (including unpriced modifications or unpriced delivery orders), and only if required in accordance with paragraph (d) or (e) of this clause.

⁽d) If the Contractor does not have an approved purchasing system, consent to subcontract is required for any subcontract that --

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252.227-7013 Rights in Technical Data-Noncommercial Items.

As prescribed in 227.7103-6(a), use the following clause:

RIGHTS IN TECHNICAL DATA--NONCOMMERCIAL ITEMS (NOV 1995)

- (a) Definitions. As used in this clause:
 - (1) "Computer data base" means a collection of data recorded in a form capable of being processed by a computer. The term does not include computer software.
 - (2) "Computer program" means a set of instructions, rules, or routines recorded in a form that is capable of causing a computer to perform a specific operation or series of operations.
 - (3) "Computer software" means computer programs, source code, source code listings, object code listings, design details, algorithms, processes, flow charts, formulae and related material that would enable the software to be reproduced, recreated, or recompiled. Computer software does not include computer data bases or computer software documentation.
 - (4) "Computer software documentation" means owner's manuals, user's manuals, installation instructions, operating instructions, and other similar items, regardless of storage medium, that explain the capabilities of the computer software or provide instructions for using the software.
 - (5) "Detailed manufacturing or process data" means technical data that describe the steps, sequences, and conditions of manufacturing, processing or assembly used by the manufacturer to produce an item or component or to perform a process.
 - (6) "Developed" means that an item, component, or process exists and is workable. Thus, the item or component must have been constructed or the process practiced. Workability is generally established when the item, component, or process has been analyzed or tested sufficiently to demonstrate to reasonable people skilled in the applicable art that there is a high probability that it will operate as intended. Whether, how much, and what type of analysis or testing is required to establish workability depends on the nature of the item, component, or process, and the state of the art. To be considered "developed," the item, component, or process need not be at the stage where it could be offered for sale or sold on the commercial market, nor must the item, component, or process be actually reduced to practice within the meaning of Title 35 of the United States Code.
 - (7) "Developed exclusively at private expense" means development was accomplished entirely with costs charged to indirect cost pools, costs not allocated to a government contract, or any combination thereof.
 - (i) Private expense determinations should be made at the lowest practicable level.
 - (ii) Under fixed-price contracts, when total costs are greater than the firm-fixed-price or ceiling price of the contract, the additional development costs necessary to complete development shall not be considered when determining whether development was at government, private, or mixed expense.

- (8) "Developed exclusively with government funds" means development was not accomplished exclusively or partially at private expense.
- (9) "Developed with mixed funding" means development was accomplished partially with costs charged to indirect cost pools and/or costs not allocated to a government contract, and partially with costs charged directly to a government contract.
- (10) "Form, fit, and function data" means technical data that describes the required overall physical, functional, and performance characteristics (along with the qualification requirements, if applicable) of an item, component, or process to the extent necessary to permit identification of physically and functionally interchangeable items.
- (11) "Government purpose" means any activity in which the United States Government is a party, including cooperative agreements with international or multi-national defense organizations, or sales or transfers by the United States Government to foreign governments or international organizations. Government purposes include competitive procurement, but do not include the rights to use, modify, reproduce, release, perform, display, or disclose technical data for commercial purposes or authorize others to do so.
- (12) "Government purpose rights" means the rights to-
 - (i) Use, modify, reproduce, release, perform, display, or disclose technical data within the Government without restriction; and
 - (ii) Release or disclose technical data outside the Government and authorize persons to whom release or disclosure has been made to use, modify, reproduce, release, perform, display, or disclose that data for United States government purposes.
- (13) "Limited rights" means the rights to use, modify, reproduce, release, perform, display, or disclose technical data, in whole or in part, within the Government. The Government may not, without the written permission of the party asserting limited rights, release or disclose the technical data outside the Government, use the technical data for manufacture, or authorize the technical data to be used by another party, except that the Government may reproduce, release or disclose such data or authorize the use or reproduction of the data by persons outside the Government if reproduction, release, disclosure, or use is-
 - (i) Necessary for emergency repair and overhaul; or
 - (ii) A release or disclosure of technical data (other than detailed manufacturing or process data) to, or use of such data by, a foreign government that is in the interest of the Government and is required for evaluational or informational purposes;
 - (iii) Subject to a prohibition on the further reproduction, release, disclosure, or use of the technical data; and
 - (iv) The contractor or subcontractor asserting the restriction is notified of such reproduction, release, disclosure, or use.
- (14) "Technical data" means recorded information, regardless of the form or method of the recording, of a scientific or technical nature (including computer software documentation).

The term does not include computer software or data incidental to contract administration, such as financial and/or management information.

- (15) "Unlimited rights" means rights to use, modify, reproduce, perform, display, release, or disclose technical data in whole or in part, in any manner, and for any purpose whatsoever, and to have or authorize others to do so.
- (b) Rights in technical data. The Contractor grants or shall obtain for the Government the following royalty free, world-wide, nonexclusive, irrevocable license rights in technical data other than computer software documentation (see the Rights in Noncommercial Computer Software and Noncommercial Computer Software Documentation clause of this contract for rights in computer software documentation):
 - (1) Unlimited rights. The Government shall have unlimited rights in technical data that are-
 - (i) Data pertaining to an item, component, or process which has been or will be developed exclusively with Government funds;
 - (ii) Studies, analyses, test data, or similar data produced for this contract, when the study, analysis, test, or similar work was specified as an element of performance;
 - (iii) Created exclusively with Government funds in the performance of a contract that does not require the development, manufacture, construction, or production of items, components, or processes:
 - (iv) Form, fit, and function data:
 - (v) Necessary for installation, operation, maintenance, or training purposes (other than detailed manufacturing or process data);
 - (vi) Corrections or changes to technical data furnished to the Contractor by the Government:
 - (vii) Otherwise publicly available or have been released or disclosed by the Contractor or subcontractor without restrictions on further use, release or disclosure, other than a release or disclosure resulting from the sale, transfer, or other assignment of interest in the technical data to another party or the sale or transfer of some or all of a business entity or its assets to another party;
 - (vin) Data in which the Government has obtained unlimited rights under another Government contract or as a result of negotiations; or
 - (ix) Data furnished to the Government, under this or any other Government contract or subcontract thereunder, with-
 - (A) Government purpose license rights or limited rights and the restrictive condition(s) has/have expired; or
 - (B) Government purpose rights and the Contractor's exclusive right to use such data for commercial purposes has expired.

- (2) Government purpose rights.
 - (i) The Government shall have government purpose rights for a five-year period, or such other period as may be negotiated, in technical data-
 - (A) That pertain to items, components, or processes developed with mixed funding except when the Government is entitled to unlimited rights in such data as provided in paragraphs (b)(ii) and (b)(iv) through (b)(ix) of this clause; or
 - (B) Created with mixed funding in the performance of a contract that does not require the development, manufacture, construction, or production of items, components, or processes.
 - (ii) The five-year period, or such other period as may have been negotiated, shall commence upon execution of the contract, subcontract, letter contract (or similar contractual instrument), contract modification, or option exercise that required development of the items, components, or processes or creation of the data described in paragraph (b)(2)(i)(B) of this clause. Upon expiration of the five-year or other negotiated period, the Government shall have unlimited rights in the technical data.
 - (in) The Government shall not release or disclose technical data in which it has government purpose rights unless-
 - (A) Prior to release or disclosure, the intended recipient is subject to the nondisclosure agreement at 227.7103-7 of the Defense Federal Acquisition Regulation Supplement (DFARS); or
 - (B) The recipient is a Government contractor receiving access to the data for performance of a Government contract that contains the clause at DFARS 252.227-7025, Limitations on the Use or Disclosure of Government-Furnished Information Marked with Restrictive Legends.
 - (iv) The Contractor has the exclusive right, including the right to license others, to use technical data in which the Government has obtained government purpose rights under this contract for any commercial purpose during the time period specified in the government purpose rights legend prescribed in paragraph (f)(2) of this clause.

(3) Limited rights.

- (i) Except as provided in paragraphs (b)(1)(ii) and (b)(1)(iv) through (b)(1)(ix) of this clause, the Government shall have limited rights in technical data-
 - (A) Pertaining to items, components, or processes developed exclusively at private expense and marked with the limited rights legend prescribed in paragraph (f) of this clause; or
 - (B) Created exclusively at private expense in the performance of a contract that does not require the development, manufacture, construction, or production of items, components, or processes.

- (ii) The Government shall require a recipient of limited rights data for emergency repair or overhaul to destroy the data and all copies in its possession promptly following completion of the emergency repair/overhaul and to notify the Contractor that the data have been destroyed.
- (iii) The Contractor, its subcontractors, and suppliers are not required to provide the Government additional rights to use, modify, reproduce, release, perform, display, or disclose technical data furnished to the Government with limited rights. However, if the Government desires to obtain additional rights in technical data in which it has limited rights, the Contractor agrees to promptly enter into negotiations with the Contracting Officer to determine whether there are acceptable terms for transferring such rights. All technical data in which the Contractor has granted the Government additional rights shall be fisted or described in a license agreement made part of the contract. The license shall enumerate the additional rights granted the Government in such data.
- (4) Specifically negotiated license rights. The standard license rights granted to the Government under paragraphs (b)(1) through (b)(3) of this clause, including the period during which the Government shall have government purpose rights in technical data, may be modified by mutual agreement to provide such rights as the parties consider appropriate but shall not provide the Government lesser rights than are enumerated in paragraph (a)(13) of this clause. Any rights so negotiated shall be identified in a license agreement made part of this contract.
- (5) Prior government rights. Technical data that will be delivered, furnished, or otherwise provided to the Government under this contract, in which the Government has previously obtained rights shall be delivered, furnished, or provided with the pre-existing rights, unless-
 - (i) The parties have agreed otherwise; or
 - (ii) Any restrictions on the Government's rights to use, modify, reproduce, release, perform, display, or disclose the data have expired or no longer apply.
- (6) Release from hability. The Contractor agrees to release the Government from liability for any release or disclosure of technical data made in accordance with paragraph (a)(13) or (b)(2)(iii) of this clause, in accordance with the terms of a license negotiated under paragraph (b)(4) of this clause, or by others to whom the recipient has released or disclosed the data and to seek relief solely from the party who has improperly used, modified, reproduced, released, performed, displayed, or disclosed Contractor data marked with restrictive legends.
- (c) Contractor rights in technical data. All rights not granted to the Government are retained by the Contractor.
- (d) Third party copyrighted data. The Contractor shall not, without the written approval of the Contracting Officer, incorporate any copyrighted data in the technical data to be delivered under this contract unless the Contractor is the copyright owner or has obtained for the Government the license rights necessary to perfect a license or licenses in the deliverable data of the appropriate scope set forth in paragraph (b) of this clause, and has affixed a statement of the license or

licenses obtained on behalf of the Government and other persons to the data transmittal document.

- (e) Identification and delivery of data to be furnished with restrictions on use, release, or disclosure.
 - (1) This paragraph does not apply to restrictions based solely on copyright.
 - (2) Except as provided in paragraph (e)(3) of this clause, technical data that the Contractor asserts should be furnished to the Government with restrictions on use, release, or disclosure are identified in an attachment to this contract (the Attachment). The Contractor shall not deliver any data with restrictive markings unless the data are listed on the Attachment.
 - (3) In addition to the assertions made in the Attachment, other assertions may be identified after award when based on new information or inadvertent omissions unless the inadvertent omissions would have materially affected the source selection decision. Such identification and assertion shall be submitted to the Contracting Officer as soon as practicable prior to the scheduled date for delivery of the data, in the following format, and signed by an official authorized to contractually obligate the Contractor:

Identification and Assertion of Restrictions on the Government's Use, Release.

or Disclosure of Technical Data.

The Contractor asserts for itself, or the persons identified below, that the Government's rights to use, release, or disclose the following technical data should be restricted-

Technical Data			Name of Person
to be Furnished	Basis for	Asserted Rights	Asserting
With Restrictions*		Category***	Restrictions****
(LIST)	(LIST)	(LIST)	(LIST)

- *If the assertion is applicable to items, components, or processes developed at private expense, identify both the data and each such item, component, or process.
- **Generally, the development of an item, component, or process at private expense, either exclusively or partially, is the only basis for asserting restrictions on the Government's rights to use, release, or disclose technical data pertaining to such items, components, or processes. Indicate whether development was exclusively or partially at private expense. If development was not at private expense, enter the specific reason for asserting that the Government's rights should be restricted.
- ***Enter asserted rights category (e.g., government purpose license rights from a prior contract, rights in SBIR data generated under another contract, limited or government purpose rights under this or a prior contract, or specifically negotiated licenses).
- ****Corporation, individual, or other person, as appropriate.

Date	
Printed Name and Title	
	provides and the second of the
Signature	

(End of identification and assertion)

- (4) When requested by the Contracting Officer, the Contractor shall provide sufficient information to enable the Contracting Officer to evaluate the Contractor's assertions. The Contracting Officer reserves the right to add the Contractor's assertions to the Attachment and validate any listed assertion, at a later date, in accordance with the procedures of the Validation of Restrictive Markings on Technical Data clause of this contract.
- (f) Marking requirements. The Contractor, and its subcontractors or suppliers, may only assert restrictions on the Government's rights to use, modify, reproduce, release, perform, display, or disclose technical data to be delivered under this contract by marking the deliverable data subject to restriction. Except as provided in paragraph (f)(5) of this clause, only the following legends are authorized under this contract: the government purpose rights legend at paragraph (f)(2) of this clause; the limited rights legend at paragraph (f)(3) of this clause; or the special license rights legend at paragraph (f)(4) of this clause; and/or a notice of copyright as prescribed under 17 U.S.C. 401 or 402.
 - (1) General marking instructions. The Contractor, or its subcontractors or suppliers, shall conspicuously and legibly mark the appropriate legend on all technical data that qualify for such markings. The authorized legends shall be placed on the transmittal document or storage container and, for printed material, each page of the printed material containing technical data for which restrictions are asserted. When only portions of a page of printed material are subject to the asserted restrictions, such portions shall be identified by circling, underscoring, with a note, or other appropriate identifier. Technical data transmitted directly from one computer or computer terminal to another shall contain a notice of asserted restrictions. Reproductions of technical data or any portions thereof subject to asserted restrictions shall also reproduce the asserted restrictions.
 - (2) Government purpose rights markings. Data delivered or otherwise furnished to the Government with government purpose rights shall be marked as follows:

GOVERNMENT PURPOSE RIGHTS

Contract No.
Contractor Name
Contractor Address

Expiration Date

The Government's rights to use, modify, reproduce, release, perform, display, or disclose these technical data are restricted by paragraph (b)(2) of the Rights in Technical Data-Noncommercial Items clause contained in the above identified contract. No restrictions apply after the expiration date shown above. Any reproduction of technical data or portions

thereof marked with this legend must also reproduce the markings.

(End of legend)

(3) <u>Limited rights markings</u>. Data delivered or otherwise furnished to the Government with limited rights shall be marked with the following legend:

LIMITED RIGHTS

Contract No.
Contractor Name
Contractor Address

The Government's rights to use, modify, reproduce, release, perform, display, or disclose these technical data are restricted by paragraph (b)(3) of the Rights in Technical Data-Noncommercial Items clause contained in the above identified contract. Any reproduction of technical data or portions thereof marked with this legend must also reproduce the markings. Any person, other than the Government, who has been provided access to such data must promptly notify the above named Contractor.

(End of legend)

- (4) Special license rights markings
 - (i) Data in which the Government's rights stem from a specifically negotiated license shall be marked with the following legend:

SPECIAL LICENSE RIGHTS

The Governme	nt's rights to	o use, modi	fy, reproduce, rele	ease,
perform, displa	y, or disclo	se these dat	a are restricted by	Contract
No. (Inse	ert contract	number)	, License No	(Insert
license identiti-	er) An	y reproduc	tion of technical d	lata or
portions thereo	f marked w	ith this lege	end must also repr	oduce the
markings.				

(End of legend)

- (ii) For purposes of this clause, special licenses do not include government purpose license rights acquired under a prior contract (see paragraph (b)(5) of this clause).
- (5) Pre-existing data markings. If the terms of a prior contract or license permitted the Contractor to restrict the Government's rights to use, modify, reproduce, release, perform, display, or disclose technical data deliverable under this contract, and those restrictions are still applicable, the Contractor may mark such data with the appropriate restrictive legend for which the data qualified under the prior contract or license. The marking procedures in paragraph (f)(1) of this clause shall be followed.

- (g) Contractor procedures and records. Throughout performance of this contract, the Contractor and its subcontractors or suppliers that will deliver technical data with other than unlimited rights, shall-
 - (1) Have, maintain, and follow written procedures sufficient to assure that restrictive markings are used only when authorized by the terms of this clause; and
 - (2) Maintain records sufficient to justify the validity of any restrictive markings on technical data delivered under this contract.
- (h) Removal of unjustified and nonconforming markings.
 - (1) Unjustified technical data markings. The rights and obligations of the parties regarding the validation of restrictive markings on technical data furnished or to be furnished under this contract are contained in the Validation of Restrictive Markings on Technical Data clause of this contract. Notwithstanding any provision of this contract concerning inspection and acceptance, the Government may ignore or, at the Contractor's expense, correct or strike a marking if, in accordance with the procedures in the Validation of Restrictive Markings on Technical Data clause of this contract, a restrictive marking is determined to be unjustified.
 - (2) Nonconforming technical data markings. A nonconforming marking is a marking placed on technical data delivered or otherwise furnished to the Government under this contract that is not in the format authorized by this contract. Correction of nonconforming markings is not subject to the Validation of Restrictive Markings on Technical Data clause of this contract. If the Contracting Officer notities the Contractor of a nonconforming marking and the Contractor fails to remove or correct such marking within sixty (60) days, the Government may ignore or, at the Contractor's expense, remove or correct any nonconforming marking.
- (i) Relation to patents. Nothing contained in this clause shall imply a license to the Government under any patent or be construed as affecting the scope of any license or other right otherwise granted to the Government under any patent.
- (i) Limitation on charges for rights in technical data.
 - (1) The Contractor shall not charge to this contract any cost, including, but not limited to, license fees, royalties, or similar charges, for rights in technical data to be delivered under this contract when-
 - (i) The Government has acquired, by any means, the same or greater rights in the data; or
 - (ii) The data are available to the public without restrictions.
 - (2) The limitation in paragraph (j)(1) of this clause-
 - (i) Includes costs charged by a subcontractor or supplier, at any tier, or costs incurred by the Contractor to acquire rights in subcontractor or supplier technical data. If the subcontractor or supplier has been paid for such rights under any other Government

contract or under a license conveying the rights to the Government; and

- (ii) Does not include the reasonable costs of reproducing, handling, or mailing the documents or other media in which the technical data will be delivered.
- (k) Applicability to subcontractors or suppliers.
 - (1) The Contractor shall ensure that the rights afforded its subcontractors and suppliers under 10 U.S.C. 2320, 10 U.S.C. 2321, and the identification, assertion, and delivery processes of paragraph (e) of this clause are recognized and protected.
 - (2) Whenever any technical data for noncommercial items is to be obtained from a subcontractor or supplier for delivery to the Government under this contract, the Contractor shall use this same clause in the subcontract or other contractual instrument, and require its subcontractors or suppliers to do so, without alteration, except to identify the parties. No other clause shall be used to enlarge or diminish the Government's, the Contractor's, or a higher-tier subcontractor's or supplier's rights in a subcontractor's or supplier's technical data.
 - (3) Techmoal data required to be delivered by a subcontractor or supplier shall normally be delivered to the next higher-tier contractor, subcontractor, or supplier. However, when there is a requirement in the prime contract for data which may be submitted with other than unlimited rights by a subcontractor or supplier, then said subcontractor or supplier may fulfill its requirement by submitting such data directly to the Government, rather than through a higher-tier contractor, subcontractor, or supplier.
 - (4) The Contractor and higher-tier subcontractors or suppliers shall not use their power to award contracts as economic leverage to obtain rights in technical data from their subcontractors or suppliers.
 - (5) In no event shall the Contractor use its obligation to recognize and protect subcontractor or supplier rights in technical data as an excuse for failing to satisfy its contractual obligation to the Government.

(End of clause)

ALTERNATE I (JUN 1995)

As prescribed in 227.7103-6(b), add the following paragraph (l) to the basic clause:

- (1) Publication for sale.
 - (1) This paragraph only applies to technical data in which the Government has obtained unlimited rights or a beense to make an unrestricted release of technical data.
 - (2) The Government shall not publish a deliverable technical data item or items identified in this contract as being subject to paragraph (I) of this clause or authorize others to publish such data on its behalf if, prior to publication for sale by the Government and within twenty-four (24) months following the date specified in this contract for delivery of such data or the removal of any national security or export control restrictions, whichever is later.

the Contractor publishes that item or items for sale and promptly notifies the Contracting Officer of such publication(s). Any such publication shall include a notice identifying the number of this contract and the Government's rights in the published data.

(3) This limitation on the Government's right to publish for sale shall continue as long as the data are reasonably available to the public for purchase.



3-19210/2001L-5388

28 August 2001

To: Commander

U. S. Army Missile Command

Redstone Arsenal, Alabama 35898-5280

Attn: AMCOM/AMSAM-AC-TM-C

Contract DAAH01-98-C-0157, Industrial Engineering Services (IES) Limited Data Rights Markings on the Low Cost Reduced Range Practice Rocket (LCRRPR)

- (a) Rights in Technical Data—Noncommercial Items, FAR 252.227-7013
- (1) Limited Data Rights Markings for the LCRRPR
- Lockheed Martin Corporation, Missiles and Fire Control-Dallas (hereinafter "Lockheed Martin") herewith submits for review our company's position reference to "Limited Data Rights" markings on the Low Cost Reduced Range Practice Rocket (LCRRPR) TDP documentation. Pursuant to Reference (a), Limited Data Rights per Enclosure (1) are marked on the TDP items as shown in bold and annotated with a "yes" in the Limited Data Rights column in the table. Even though the top level drawing (Rocket Pod: 298mm, M28A2) has a new part number, limited data rights is still applicable since the sub-level drawings for the Rocket Pod Assy, Harness Insti Assy, Launch Tube, and W110 Cable Assembly were unchanged and were originally marked as "Limited Data Rights". The system specification (MIS-35437) retains limited data rights marking as a result of the Rocket Pod and the software retaining limited data rights markings.
- 2. Any questions of a technical nature may be directed to at a at a contractual nature to the undersigned at

Page 2 3-19210/2001L-5388

Sincerely,

Contract Administrator - MLRS

CC: AMCOM/SFAE-MSL-ML-MG/
DCMC Lockheed Martin Corp.

Lockheed Martin Missiles & Fire Control-Dallas

Lockheed Martin Missiles & Fire Control-Dallas

Lockheed Martin Missiles & Fire Control-Dallas (MC-20) Lockheed Martin Missiles & Fire Control-Dallas (MC-48)

(MC-20)

Limited Data Rights Markings for the LCRRPR

23 August 2001

RRPR			LCRRPR			
Description	Document Number	Limited Data Rights	Description	Document Number	Limited Data Rights	
Warhead Assy, M28A1	13031050	Yes	Warhead Assy, M28A2	13540611	No	
Skin	13031051	Yes	Skin, Warhead	13540612	No	
	13031052	Yes	Nose Cap	13540614	No	
Bulkhead, Forward Casting	13031055	Yes	Ballast, Warhead	13540613	No	
Spacer, Ballast	13031056	Yes	NA	N/A	N/A	
And A supplemental to the second seco	13031057	Yes	NA	, N/A	N/A	
Support, Reduced Range Practice	13031058	Yes	N/A	N/A	N/A	
Bulkhead, Intermediate	13031059	Yes	NA	- N/A	N/A	
Rocket Assy, M28A1	13031060	Yes	Rocket Assy, M28A2	13540610	No	
Bulkhead, Forward	13031068	Yes	N/A	N/A	N/A	
**************************************	13031069	Yes	N/A	N/A	N/A	
Rocket Pod: 298mm, M28A1	13031950	Yes	Rocket Pod: 298mm, M28A2	13540620	Yes	
Rocket Pod Assy	13031951	Yes	Rocket Pod Assy	13031951	Yes	
Marking Instructions, Rocket Pod, 298mm, M28A1	13031952	Yes	Marking Instructions, Rocket Pod, 298mm, M28A2	13540622	No	
Harness Instl Assy	13031953	Yes	Harness Instl Assy	13031953	Yes	
Tube, Launch	13031955	Yes	Tube, Launch	13031955	Yes	
Cable Assembly, W110	13210600	Yes	Cable Assembly, W110	13210600	Yes	
Sabot	13029568	No	Sabot	13540618	No	
System Spec M28A1 Rocket Pod, 298mm	MIS-31710	Yes	System Spec M28A2 Rocket Pod, 298mm	MIS-35437	Yes	
Fab Spec M26 Rocket Pod, 298mm	MIS-31840	- No	Fab Spec M28A2 Rocket Pod, 298mm	MIS-35438 -	No	
B-5 Specification	MIS-35094/19	Yes	B-5 Specification	MIS-35094/19	Yes	
C-5 Specification	MIS-35095/19	Yes	Software Version Description (SVD)	MIS-35095/19	Yes	
RRPR Software SPAP	MIS-35095/19 Version 113	Yes	RRPR Software SPAP	MIS-35095/19 Version 114	Yes	

31A

Distribution Statement

D10 - Statement D, limited to DoD and Contractors due to Administrative or Operational Use

WARNING - This document contains technical data whose export is restricted by the Arms Export Control Act (Title 22, U.S.C., Sec 2751, et seq.) or the Export Administration Act of 1979, as amended, Title 50, U.S.C., App. 2401 et seq. Violations of these export laws are subject to severe criminal penalties. Disseminate in accordance with provisions of DoD directive 5230.25.

Frame 2

General ECP Information

ECP Title: UPDATE MIS-35094/19 and MIS-35095/19 for High Winds Limitation with Low-Cost Reduced Range Practice Rockets

Date Submitted: 2002/01/24

PAN: MI-C1973

DODAAC:

Originator: LOCKHEED MARTIN CORP, Missiles & Fire Control, P. O. Box 650003, Dallas, TX 75265-0000

ECP CLASS	J-CODE	PRIORITY	MODEL/TYPE
1	O	U	*****
CAGE	SYSTEM	CONFIG TYPE	
64059	MLRS	-	
ECP NO	TYPE	REVISION	AMENDMENT
MI-C1973	a a	R0	A0

Baseline Affected:

Total Number of Change Documents Attached to this Document: N/A

Functional:

Allocated:

Product: Y

Other Systems/Configuration Items Affected: N

System in Production?: Y

Effect on Production Delivery Schedule:

- Days After Contractual Approval:

- Specific Date:

Date By Which Contractual Authority Needed For:

Date	Cor	uraciual	Antl	iority	Needed	For:
17.51.5	F 533	1 2 2 5 7 5 1 6 1 7 1 7 1		****		x 0

- Production: 2002/02/28

- Retrofit:

Estimated Cost/Savings: 0
Estimated Net Total Cost: 0
Submitter Name: Paul Heltmach

Submitter Title: Manager, Configuration Management

Work-Hours to Install Retrofit KITS:

- Organization:	- Intermediate:
-----------------	-----------------

- Depot:

- Other:

Work-Hours to Conduct System Tests After Retrofit:

Is Contractor Field Service Engineering Required?:

Out of Service Hours:

Frame 2.1

Emergency/Urgent ECP

Per TDL TR-99-01 (S/D 1)

. Revision B, the scheduled completion date of the MLRS M270 Low Cost Reduced Range (LCRRPR) software is February 28, 2002.

Frame 3

Specifications Affected

Note: Select SCN to View				
Specification	Cage Code	Revision	SCN	Number-Type
MIS-35095/19	18876	E		MIS-35095/19-NC
MIS-35095/19E SUP 1	18876			MIS-35095/19E SUP 1-NC
MIS-35094/19	18876	D		MIS-35094/19-NC
MIS-35094/19D SUP 1	18876			MIS-35094/19D SUP 1-NC

Frame 4

Contract Number and Line Item

Contract Number DAAH01-01-C-0141 Line Item

Frame 5

Procuring Contracting Officer

Officer

Code

Telephone

James Snyder

AMSAM-AC-TM-C

256.876.7347

Frame 6

Configuration Item Nomenclature

Item Nomenclature

Part Number

System

MLRS

MLRS

Frame 7

Name of Part or Lowest Assembly Affected

Assembly Name

Assembly Number

NSN

CAGE

SEE CONFIG ITEM NOMENCLATURE

Frame 8

Description Of Change

NORs 1/4 MIS-35094/19

NOR 2/4 MIS-35094/19E Supplement 1

. NOR 3/4 MIS-35095/19

and NOR 4/4 MIS-35095/19F Supplement I

Update the Software Requirements Specification (SRS) document to include new requirements for the software to restrict fire missions with high winds at low altitudes. Update paragraphs 2.1 and 2.2 to include the lastest revisions of referenced documents. Update paragraph 3.2.5.5.2 and add new paragraph 3.2.5.5.1 to describe new requirements as described in STR 5374 (S/D 2)

. Renumber subsequent paragraphs and paragraph references, which are changed due to the added paragraph. Update paragraphs 3.3.1.2.1, 3.3.1.2.2, 3.3.3.2.1, 3.3.5.2.1.8, 3.3.5.2.2.3, and 3.3.6.2 to modify references to the weapon type group identification number to the correct number for this weapon. Modify paragraph 3.3 5.2.3.3 to correct a formatting error.

In the software, implement a new Fortran ballistic algorithm that prevents calculation of a firing solution for fire missions with high winds (over 30 knots) at low altitudes for the Low-Cost Reduced Range Practice rocket (LCRRPR). In addition, include minor corrections in the area of end-of-mission processing for precalculated missions.

Update the Software Version Description (SVD) to include V107A2 ballistics update as described in STR 5374 and the minor ballistics corrections described in STR 5375 (S/D 3)

. Update paragraphs 11, 1.2, 3.1, 3.3.1.5, 3.3.2.5, 3.3.3.5 and 3.7 of the SVD to reflect the new version numbers and the changes installed with this software release. Update Table 2 to reflect the current path name for the location of the SVD files. Update Table 3 and Table 5 of the SVD to reflect the revised software module names associated with this ECP.

Frame 9

Need for Change

A Low cost Reduced Range Practice Rocket (LCRRPR) Weapon Application Software update was required to implement a new Fortran Ballistics Algorithm which limits fire missions with high winds at low altitudes for this weapon. Per Technical Direction Letter TR-99-001, Revision B, The Low-Cost Reduced Range Special Application Software has been modified to prevent the calculation of aiming solutions under these conditions

Frame 10

Production Effectivity by Serial Number

Cut-In SN

Cut-Out SN

DOC CHANGE ONLY

Frame 11

Effects on Program Configuration Identification Operation and Logistics

Note: Areas not affected by this ECP do not appear

Effect On Product Configuration Documentation/Identification Or Contract

CDRL, TECHNICAL DATA

Effect On Integrated Logistics Support (ILS) Elements

٠	MAINT	ENANCE	CONCEPT,	PLANS	AND	PROCEDURES
---	-------	--------	----------	--------------	-----	-------------------

• MAINTENANCE CONCLET, LEANS AND TROCLOUNES
Effect On Operational Employment
• SAFETY
Frame 11.
CDRL, Technical Data
See NORs.
Frame 11.
Maintenance Concept, Plans and Procedures
The CB and CC tables will have to be updated. Full impact unknown at this time.
Frame 11
Safety
Safety Statement
AND THE RESERVE THE STATE OF TH
Frame
Developmental Status
Successfully completed FQT (Autotest) on 10 August 2001 with Software Quality Assurance witnesses. FQT Report #3-57440/2001R-5004, dated 16 October 2001 submitted to AMCOM via CITIS. See FQT signature page (S/D 4)
ECP changes do not affect hardware form, fit or function.
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Page 6 of 7

Hardware Milestone Chart

Hardware Milestone Chart - Page 1	
**	Frame 14
	Franc 14
Supplemental Information	
Supplemental Enclosures	
Prepared by	
Cognizant Engineer	
European Production Priority Code	
LOCKHEED MARTIN CORP, Missiles & Fire Control - Dallas, Sheet 2	
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	Frame 14.1
Prepared by	
B. Powell	
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	Frame 14.2
Cognizant Engineer	
V. Green	
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	Frame 14.3
European Production Priority Code	
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Frame 14.4

LOCKHEED MARTIN CORP, Missiles & Fire Control - Dallas,

MEARS Document Print [MI-C1973FR0A0]	Page 7 of 7
Sheet 2	
Sheet 2	

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31B







SUPERSEDING MIS-35094/19D 02 MAR 1998

MISSILE COMMAND DETAIL SPECIFICATION COMPUTER PROGRAM DEVELOPMENT SPECIFICATION FOR THE MULTIPLE LAUNCH ROCKET SYSTEM REDUCED RANGE PRACTICE ROCKET (RRPR)

WEAPON COMPUTER PROGRAM COMPONENT

RESTRICTED RIGHTS LEGEND

Use, duplication or disclosure is subject to restrictions stated in Contract No. DAAH01-89-C-0336/P00111, P0241 with Lockheed Martin Missiles and Fire Control - Dallas and the related computer software documentation includes a prominent statement of the restrictions applicable to the computer software, the Government shall have unlimited rights in the software. The Contractor may not place any legend on computer software restricting the government's rights in such software unless the restrictions are set forth in a license agreement made a part of this contract prior to the delivery date of the software. Failure of the Contractor to apply a restricted right legend to the computer software shall relieve the Government of liability with respect to the unmarked software. In addition to the "Limited Rights" specified in paragraph (a)(15) of the clause at 252.227-7013 of the contract listed above, the Government has "License Rights" as specified in Clause H-52 of said contract.

Use or disclosure of data on this sheet is subject to the restrictions on the title page.

31C

SUPERSEDING MIS-35095/19E 11 DEC 2001

MISSILE COMMAND DETAIL SPECIFICATION

SOFTWARE VERSION DESCRIPTION

FOR THE

RRPR WEAPON SPECIAL APPLICATIONS

COMPUTER SOFTWARE COMPONENT

OF THE

MULTIPLE LAUNCH ROCKET SYSTEM

FIRE CONTROL SYSTEM

ELECTRONICS UNIT CENTRAL PROCESSOR

CSC NO: MIS-35095/19-115

Prepared for:

U. S. ARMY AVIATION AND MISSILE COMMAND
REDSTONE ARSENAL, AL 35898
Prepared by:
LOCKHEED MARTIN CORPORATION,
MISSILES AND FIRE CONTROL
POST OFFICE BOX 650003
DALLAS, TX 75265-0003

LIMITED RIGHTS

Contract No. <u>DAAH01-98-C-0157</u> Lockheed Martin Corporation Missiles and Fire Control – Dallas 1701 W. Marshall Drive Grand Prairie, Texas 75051

The Government's rights to use, modify, reproduce, release, perform, display, or disclose these technical data are restricted by paragraph (b)(3) of the Rights in Technical Data—Noncommercial Items clause contained in the above identified contract. Any reproduction of technical data or portions thereof marked with this legend must also reproduce the markings. Any person, other than the Government, who has been provided access to such data must promptly notify the above named Contractor.

Distribution Statement D: Distribution authorized to the DOD and DOD contractors only, Administrative or Operational Use: Date of Determination: 21 Aug 97. Other requests shall be referred to U. S. Army Aviation and Missile Command. MLRS Project Office, ATTN: SFAE-MSL-TM-C, Redstone Arsenal, A. L. 35898.

Export-Control Act Warning: This document contains technical data whose export is restricted by the Arms Export Control Act (Title 22, U.S.C., Sec 2751, et seq.) or the Export Administration Act of 1979, as amended, Title 50, U.S.C., App.2 401 et seq. Violations of these export laws are subject to severe criminal penalties. Disseminate in accordance with provisions of DOD Directive 5230.25.

Ref. No. of Doc. Being Contd.: DACHUS-89-C-033b CONTINUATION SHEET

Yane of Offeror or Contractor: LTV AEROSPACE AND BEFENSE CO

Page 111 of 127

The Offeror is required to identify in his proposal, to the extent feasible, any such computer software which was developed at private expense and upon the use of which it desires to negotiate restrictions, and to state the nature of the proposed restrictions. Any restrictions on the Government's use or disclosure of computer software developed at private expense and to be delivered under the contract must be set forth in an agreement made a part of the contract, either negotiated prior to award or included in a modification of the contract before such delivery. If no such computer software is identified, all deliverable computer software will be subject to unlimited rights.

(End of provision)

I-8 TECHNICAL DATA - UITHHOLDING OF PAYMENT 252.221-T030

(BCT 1988)

- (a) If technical data specified to be delivered under this contract, is not delivered within the time specified by this contract or is deficient upon delivery (including having restrictive narkings not identified in the list described in the clause at 252.227-7013(k) of this contract), the Contracting Officer may until such data is accepted by the Government, withhold payment to the Contractor of ten percent (10%) of the total contract price or amount unless a lesser withholding is specified in the contract. Payments shall not be withheld nor any other action taken pursuant to this paragraph when the Contractor's failure to make timely delivery or to deliver such data without deficiencies arises out of causes beyond the control and without the fault or negligence of the Contractor.
- (b) The withholding of any amount or subsequent payment to the Contractor shall not be construed as a waiver of any rights uring to the Copernment under this contract.

(End of clause)

I-9 DATA REQUIREMENTS 252,227-7831

(007 1988)

The Contractor is required to deliver only the data items listed on the BB Form 1423 (Contract Data Requirements List) and data items identified in and deliverable under any contract clause of FAR Subpart 52.2 and DoB FAR Supplement Subpart 52.2 made a part of the contract.

(End of clause)

I-18 VALIDATION OF RESTRICTIVE MORKINGS ON TECHNICAL DATA 252,227-7837

(APR 1986)

- (a) <u>Definition</u>. The terms used in this clause are defined in the clause at DFARS 252.221-7613 of the Bepartment of Befense Federal Acquisition Regulation Supplement (DFRES).
- (b) <u>Justification</u>. The Contractor or subcontractor at any tier is responsible for maintaining records sufficient to justify the validity of its markings that impose restrictions on the Sovernment and others to use, duplicate, or disclose technical data delivered or required to be delivered under the contract or subcontract, and shall be prepared to furnish to the Contracting Officer a unitien justification for such restrictive markings in response to a challenge under paragraph (d) below.

Ref. No. of Doc. Being Contd.: DARNO1-89-C-033b CONTINUATION SHEET

Page 112 of 127

Name of Offerer or Contractor: LTV AEROSPACE AND DEFENSE CO

(c) Prechallenge Request for Information.

- (1) The Contracting Officer may request the Contractor or subcontractor to furnish a unitten explanation for any restriction asserted by the Contractor or subcontractor on the right of the United States or others to use technical data. If, upon review of the explanation submitted, the Contracting officer remains unable to ascertain the basis of the restrictive marking, the Contracting Officer may further request the Contractor or subcontractor to furnish additional information in the records of, or otherwise in the possession of or reasonably available to, the Contractor or subcontractor to justify the validity of any restrictive marking on technical data delivered or to be delivered under the contract or subcontract (e.g., a statement of facts accompanied with supporting documentation). The Contractor or subcontractor shall submit such written data as requested by the Contracting Officer within the time required or such longer period as may be nutually agreed.
- (2) If the Contracting Officer, after reviewing the written data furnished pursuant to paragraph (c)(1) above, or any other available information pertaining to the validity of a restrictive marking, determines that reasonable grounds exist to question the current validity of the marking and that continued adherence to the marking would make impracticable the subsequent competitive acquisition of the item, component, or process to which the technical data relates. The Contracting Officer shall follow the procedures described in (d) below.
- (3) If the Contractor or subcontractor fails to respond to the Contracting Officer's request for information under paragraph (c)(1) above, and the Contracting Officer determines that continued adherence to the marking would make impracticable the subsequent competitive acquisition of the item, component, or process to which the technical data relates, the Contracting Officer may challenge the validity of the marking as described in paragraph (d) below.

(d) Challenge.

- (1) Notwithstanding any provision of this contract concerning inspection and acceptance, if the Contracting Officer determines that a challenge to the restrictive marking is warranted, the Contracting Officer shall send a written challenge notice to the Contractor or subcontractor asserting the restrictive markings. Such challenge shall:
 - (i) State the specific grounds for challenging the asserted restriction;
- (ii) Require a response within sixty (bll) days justifying and providing sufficient evidence as to the current religity of the asserted restrictions and
- (iii) State that a BoO Contracting Officer's final decision, issued pursuant to paragraph (f) below, sustaining the validity of a restrictive marking identical to the asserted restriction, within the three-year period preceding the challenge, shall serve as justification for the asserted restriction if the validated restriction was asserted by the same Contractor or subcontractor (or any licensee of such Contractor or subcontractor) to which such notice is being provided.
- (iv) State that failure to respond to the challenge notice may result in issuance of a final decision pursuant to paragraph
 (e) below.
- (2) The Contracting Officer shall extend the time for response as appropriate if the Contractor or subcontractor submits a written request showing the need for additional time to prepare a response.
- (3) The Contractor's or subcontractor's written response shall be considered a claim within the meaning of the Contract Disputes Act of 1978 (41 U.S.C. b01 et seq.), and shall be certified in the form prescribed by FAR 33.207, regardless of dollar anount.

Ref. No. of Doc. Being Contd.: DAAND1-84-C-0336 CONTINUATION SHEET

Yane of Offeror or Contractor: LTV REROSPACE AND DEFENSE CO

Page 113 of 127

- (4) A Contractor or subcontractor receiving challenges to the same restrictive markings from more than one Contracting Officer shall notify each Contracting Officer of the existence of more than one challenge. The notice shall also state which Contracting Officer initiated the first in time unanswered challenge. The Contracting Officer initiating the first in time unanswered challenge after consultation with the Contractor or subcontractor and the other Contracting Officers, shall formulate and distribute a schedule for responding to each of the challenge notices to all interested parties. The schedule shall afford the Contractor or subcontractor an opportunity to respond to each challenge notice. All parties will be bound by this schedule.
- (e) Final Decision When Contractor or Subcontractor fails to Respond. Upon a failure of a Contractor or subcontractor to submit any response to the challenge notice, the Contracting Officer will issue a final decision to the Contractor or subcontractor in accordance with the Disputes clause at FAR 52.233-1, pertaining to the validity of the asserted restriction. This final decision shall be issued as soon as possible after the expiration of the time period of paragraph (d)(1)(ii) or(d) (2) above. Following the issuance of the final decision, the Contracting Officer will comply with the procedures in (f)(2)(ii) through (iv) below.
 - (f) Final Decision When Contractor or Subcontractor Responds.
- (1) If the Contracting Officer determines that the Contractor or subcontractor has justified the validity of the restrictive marking, the Contracting Officer shall issue a final decision to the Contractor or subcontractor sustaining the validity of the restrictive marking, and stating that the Government will continue to be bound by the restrictive marking. This final decision shall be issued within sixty (60) days after receipt of the Contractor's or subcontractor's response to the challenge motice, or within such longer period that the Contracting Officer has notified the Contractor or subcontractor that the Government will require. The notification of a longer period for issuance of a final decision will be made within sixty (60) days after receipt of response to the challenge notice.
- (2)(i) If the Contracting Officer determines that the validity of the restrictive marking is not justified, the Contracting Officer shall issue a final decision to the Contractor or subcontractor in accordance with the Disputes clause at FAR 52.233-1. Notwithstanding paragraph (e) of the Disputes clause, the final decision shall be issued within sixty (LB) days after receipt of the Contractor's or subcontractor's response to the challenge notice, or within such longer period that the Contracting Officer has notified the Contractor or subcontractor of the longer period that the Government will require. The notification of a longer period for issuance of a final decision will be made within sixty (LB) days after receipt of the response to the challenge sotice.
- (ii) The Government agrees that it will continue to be bound by the restrictive marking for a period of minety (90) days from the issuance of the Contracting Officer's final decision under paragraph (f)(2)(i) of this clause. The Contractor or subcontractor agrees that, if it intends to file suit in the United States Claims Court it will provide a notice of intent to file suit to the Contracting Officer within minety (90) days from the issuance of the Contracting Officer's final decision under paragraph (f)(2)(i) of this clause. If the Contractor or subcontractor fails to appeal, file suit, or provide a motice of intent to file suit to the Contracting Officer within the minety (90)-day period, the Government may cancel or ignore the restrictive markings, and the failure of the Contractor or subcontractor to take the required action constitutes agreement with such Government action.
- (iii) The Government agrees that it will continue to be bound by the restrictive marking where a motice of intent to file suit in the United States Claims Court is provided to the Contracting Officer mithin minety (NO) days from the issuance of the final decision under paragraph (f)(2)(i) of this clause. The Government will no longer be bound, and the Contractor or subcontractor agrees that the Government may strike or ignore the restrictive markings, if the Contractor or subcontractor fails to file its suit within one (1) year after issuance of the final decision. Notwithstanding the foregoing, where the head of an agency determines, on a mondelegable basis, that urgent or compelling circumstances will not permit maiting for the filing of a suit in the United States Claims Court, the Contractor or subcontractor agrees that the agency may, following matice to the fortractor or subcontractor, authorize release or disclosure of the technical data. Such agency determination may be made at any after issuance of the final decision and will not affect the Contractor's or subcontractor's right to damages against the sed States where its restrictive markings are ultimately upheld or to pursue other relief, if any, as may be provided by law.

(iv) The Government agrees that it will be bound by the restrictive marking where on appeal or suit is filed pursuant to the Contract Disputes Act until final disposition by an agency Board of Contract Appeals or the United States Claims Court. Notwithstanding the foregoing, where the head of an agency determines, on a nondelegable basis, following notice to the Contractor that urgent or compelling circumstances will not permit analting the decision by such Board of Contract Appeals or the United States Claims Court, the Contractor or subcontractor agrees that the agency may authorize release or disclosure of the technical data. Such agency determination may be made at any time after issuance of the final decision and will not affect the Contractor's or subcontractor's right to damages against the United States where its restrictive markings are ultimately upheld or to pursue other relief, if any, as may be provided by law.

- (q) Final Disposition of Appeal or Suit.
- (1) If the Contractor or subcontractor appeals or files suit and if, upon final disposition of the appeal or suit, the Contracting Officer's decision is sustained—
 - (i) The restrictive marking on the technical data shall be cancelled, corrected or ignored; and
- (ii) If the restrictive marking is found not to be substantially justified, the Contractor or subcontractor, as appropriate, shall be liable to the Government for payment of the cost to the Government of reviewing the restrictive marking and the fees and other expenses (as defined in 20 U.S.C. 2412(d)(2)(A)) incurred by the Government in challenging the marking, unless special circumstances would make such payment unjust.
- (2) If the Contractor or subcontractor appeals or files suit and if, upon final disposition of the appeal or suit, the "otracting Officer's decision is not sustained--
 - (i) The Sovernment shall continue to be bound by the restrictive marking; and
- (ii) The Sovernment shall be liable to the Contractor or subcontractor for payment of fees and other expenses (as defined in 28 U.S.C. 2412(d)(2)(A)) incurred by the Contractor or subcontractor in defending the marking, if the challenge by the Covernment is found not to have been made in good faith.
- (h) <u>Duration of Right to Challenge</u>. The Government may review the validity of any restriction on technical data, delivered or to be delivered under a contract, asserted by the Contractor or subcontractor. Buring the period within three (3) years of final payment on a contract or within three (3) years of delivery of the technical data to the Government, whichever is later, the Contracting Officer may review and make a written determination to challenge the restriction. The Government may, however, challenge a restriction on the release, disclosure or use of technical data at any time if such technical data (1) is publicly availables (2) has been furnished to the United States without restriction; or (3) has been otherwise made available without restriction. Only the Contracting Officer's final decision resolving a formal challenge by sustaining the validity of a restrictive marking constitutes "validation" as addressed in 18 U.S.C. 2321. A decision by the Government, or a determination by the Contracting Officer, to not challenge the restrictive marking or asserted restriction shall not constitute "validation".
- (i) <u>Privity of Contract</u>. The Contractor or subcontractor agrees that the Contracting Officer may transact matters under this clause directly with subcontractors at any tier that assert restrictive markings. However, this clause neither creates nor implies privity of contract between the Government and subcontractors.
- (j) Flowdown. The Contractor or subcontractor agrees to insert this clause in subcontracts at any tier requiring the delivery of technical data.

(End of clause)

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CONTINUATION SHEET	Reference No. of Document Bei	ng Continued	Page 33 of 4?
CONTINUATION SHEET	PHN/SHN DAAH01-98-C-0157	MOD/AMD	

Name of Offeror or Contractor: LOCKHEZD MARTIN VOUGHT SYSTEMS

ION I - CONTRACT CLAUSES

NOTICE: The following solicitation provisions and/or contract clauses pertinent to this section are hereby incorporated by reference.

	Regulatory Cité	Title	Date
1-1	52.262-1	DEFINITIONS	OCT/1995
1-2	52.203-3	GRATUITIES	APR/1984
7-3	52.203-5	COVENANT AGAINST CONTINGENT FIRS	APR/1984
1-4	52.203-€	RESTRICTIONS ON SUBCONTRACTOR SALES TO THE COVERNMENT	JUL/1995
1-5	52.203-7	ANTI-KICKBACK PROCEDURES	JUL/1995
1~6	52.203-10	PRICE OR FEE ADJUSTMENT POR ILLEGAL OR IMPROPER ACTIVITY	JAN/1997
1-7	52.203-12	LIMITATION ON PAYMENTS TO INFLUENCE CERTAIN PEDERAL TRANSACTIONS	JUN/1997
I-8	52.204-2	SECURITY REQUIREMENTS	ADG/1996
1-9	52.204-4	PRINTING/COPYING DOUBLE-SIDED ON RECYCLED PAPER	JUN/1996
1-10	52.209-6	PROTECTING THE COVERECIZIT'S INTEREST WHEN SUBCONTRACTING WITH	JUL/1995
		CONTRACTORS	
		DEBAFARD, SUSPENDED, OR PROPOSED FOR DEBAFARENT	
I-11	52.211-5	MATERIAL REQUIREMENTS	OCT/1997
1-12	52.211-15	DEFENSE PRICETTY AND ALLOCATION REQUIREMENTS	SEP/1990
1-13	52.215-2	ADDIT AND RECORDS - NEGOTIATION	AUG/1996
I-14	52.215-8	ORDER OF PRECEDENCE - UNIFORM CONTRACT FORMAT	OCT/1997
1-15	52,215-9	CHANGES OR ADDITIONS TO MAKE-OR-BUY PROGRAM	OCT/1997
I-16	52.215-10	PRICE REDUCTION FOR DEFECTIVE COST OR PRICING DATA	OCT/1997
1-17	52.215-12	SUBCOMPRACTOR COST OR PRICING DATA	OCT/1997
I-18	52.215-15	TERMINATION OF DEFINED BENEFIT PENSION PLANS	001/1997
1-19	52.215-18	REVERSION OR ADJUSTMENT OF PLANS FOR POSTRETIREMENT BENEFITS (PRB) OTHER THAN PERSIONS	OCT/1997
1-20	52.215-19	NOTIFICATION OF OWNERSHIP CHANGES	OCT/1997
-21	52.216-7	ALLOWABLE COST AND PAYMENT	APR/1998
*	52.216-8	FIXED FEE	MAR/1997
i	52.219-8	UTILIZATION OF SMALL, SMALL DISADVANTAGED, AND WOMEN-OWNED SMALL BUSINESS CONCERNS	JUN/1997
1-24	52.222-3	CONVICT LABOR	AUG/1998
1-25	52.222-4	CONTRACT WORK HOURS AND SAFETY STANDARDS ACT - OVERTIME COMPENSATION	JUL/1995
I-26	52.222-25	BOUAL OPPORTUNITY	APR/1984
1-27	52.222-23	BQUAL OPPORTUNITY PREAMARD CLEARANCE OF SUBCONTRACTS	APR/1984
1-38	52.222-29	NOTIFICATION OF VISA DENIAL	APR/1984
1-29	S2.222+35	AFFIRMATIVE ACTION FOR DISABLED VETERANS AND VETERANS OF THE VIETNAM ERA	APR/1958
1-30	52.222-36	APPIPMATIVE ACTION FOR HANDICAPPED WORKERS	APR/1984
1-31	52.222-37	EMPLOYMENT REPORTS ON DISABLED VETERANS AND VETERANS OF THE VIETNAM ERA	APR/1998
1-32	52.223-2	CLEAN AIR AND WATER	APR/1984
1-33	52.223-5	POLLUTION PREVENTION AND RIGHT-TO-KNOW INFORMATION	APR/1998
1-34	52.223-6	DRUG-FREE WORKPLACE	JAN/1997
1-35	52.225-10	CUTY-FR2E ENTRY	APR/1984
1-36	52.225-11	RESTRICTIONS ON CERTAIN FOREIGN PURCHASES	OCT/1996
1-37	52.226-1	UTILIZATION OF INDIAN ORGANIZATIONS AND INDIAN-OADED ECONOMIC ENTERPRISES	SEP/1996
1-38	52.227-1	AUTHORIZATION AND CONSENT	JUL/1995
1-39	52.227-1	AUTHORIZATION AND CONSENT (JUL 95) - ALTERNATE I	APR/1984
1-40	52.227-2	NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGEMENT	AUG/1996
7-41	52.227-10	FILING OF PATENT APPLICATIONS - CLASSIFIED SUBJECT HATTER	APR/1984
1-42	52.227-12	PATENT RIGHTS - RETENTION BY THE CONTRACTOR (LONG FORM)	JAN/1997
1-43	52.227-13	PATENT RIGHTS - ACQUISITION BY THE GOVERNMENT	JAN/1997
I-44	52.228-7	INSURANCE - LIMBILITY TO THIRD PERSONS	MAR/1996
1-45	52.230-2	COST ACCOUNTING STANDARDS	APR/1998
1-46	52.230-6	AIMINISTRATION OF COST ACCOUNTING STANDARDS	APR/1996
7-47	52.232-9	LIMITATION ON WITHBOLDING OF PAYMENTS	APR/1984
, 8	52.232-17	INTEREST	JUN/1996
	52.232-20	LIMITATION OF COST	AFR/1984
	52.232-23	assignment of claims	JAN/1986
1-51	52 . 232 - 25	PROMPT PAYMENT	JUN/1997

CONTINUATION SHEET	Reference No. of Document Being Continued	Page 34 of 47
	PIIN/SIIN DAAHO1-98-C-0157 MOD/AMD	£

	Regulatory Cite		Date
: -53	52.232-33	MANDATORY INFORMATION FOR ELECTRONIC FUNDS TRANSFER PAYMENT	ACG/1996
	52.233-1 52.233-3	DISPUTES	OCT/1995
-54 -55	52.237-2	PROTEST AFTER AWARD (AUG 96) - ALTERNATE I PROTECTION OF GOVERNMENT BUILDINGS, EQUIPMENT, AND VEGETATION	JUN/1985 APR/1984
-56	52.242-1	NOTICE OF INTENT TO DISALLOW COSTS	APR/1984
-57	52.242-3		OCT/1995
-58		PENALTIRS FOR UNALLOWABLE COSTS	
	52.242-4 52.242-13	CERTIFICATION OF FINAL INDIRECT COSTS	JAN/1997 JUL/1995
-59		EXPERIENCE AND	APR/1984
-60 -61	52.243-2 52.244-2	CHANGES - COST-REIMBURSEMENT (1987 AUG) - ALTERNATE I SUPCONTRACTS (COST-REIMBURSEMENT AND LETTER CONTRACTS) (OCT 97) -	AUG/1996
**	** **	ALTERNATE I	mmm (4 5 5 7 7
-62	52.244-5	COMPETITION IN SUBCONTRACTING	DEC/1996
-63	52.246-25	LIMITATION OF LIABILITY - SERVICES	FEB/1997
	52.247-63	PREFERENCE FOR U.SFLAG AIR CAPRIERS	APR/1984
~65	52.248-1	VALUE ENGINEERING	MAR/1989
-66	52.249-6	TEPHINATION (COST-REIMBURSIMENT)	SEP/1996
~67 ~	52.249-14	EXCORDE DELIYS	APR/1984
(+68 	52,253-1	COMPUTER GENERATED FORMS	JAN/1991
:-69 :-30	ሳዲካ ማለቁ ማ ስለቀ	*** THIS REFERENCE (IAO210) IS NO LONGER VALUE ***	DEC/1991
(-70 · **	252.201-7000	CONTRACTING OPPICER'S REPRESENTATIVE	
-71	52.203-8	CANCELLATION, MECISSION, AND RECOVERY OF FUNDS FOR ILLEGAL OR IMPROPER ACTIVITY	JAN/1997
:-72	252.203-7001	SPECIAL PROHIBITION ON EMPLOYMENT	JUN/1997
C-73	252.203-7002	DISPLAY OF DOB HOTLING POSTER	DEC/1991
-74	252.204-7600	DISCLOSURE OF INFORMATION	DEC/1991
-75	252.204-7003	CONTROL OF COVERNMENT PERSONNEL WORK PRODUCT	APR/1992
1-76	252.205-7000	PROVISION OF INFORMATION TO COOPERATIVE AGREEMENT HOLDERS	DEC/1991
-7 7	252.209-7000	ACQUISITION FROM SUBCONTRACTORS SUBJECT TO ON-SITE INSPECTION UNDER THE INTERMEDIATE-RANGE MUCLSAR FORCES (INF) TREATY	NOV/1995
78	252.209-7004	SUBCOMPRACTING WITH FIRMS THAT ARE OWNED OR CONTROLLED BY THE GOVERNMENT OF A TERRORIST COUNTRY	HAR/1998
	252.215-7000	PRICING ADJUSTMENTS	DEC/1991
d0	252.215-7007	CUST ESTIMATING SYSTEM REQUIREMENTS (FEDERAL REGISTER, VOL 62, NO. 145)	JUL/1997
1-81	252,217-7000	EXERCISE OF OPTION TO FULFILL FOREIGN MILITARY SALES COMMITMENTS (DEC. 1991) - ALTERNATE I	DEC / 1 99 1
7-82	252.222-7002	COMPLIANCE WITH LOCAL LABOR LAWS (OVERSEAS)	JUN/1997
F-83	252 223-7004	DRUG-FREE WORK FORCE	SEP/1988
1-84	252.223-7006	PROHIBITION ON STORAGE AND DISPOSAL OF TOXIC AND HAZARIAUS WATERIALS	APR/1993
I-85	252.225-7061	BUY AMERICAN ACT AND BALANCE OF PAYMENTS PROGRAM	MAR/1998
1-86	252.225-7002	QUALIFYING COUNTRY SOURCES AS SUBCONTRACTORS	DBC/1991
3-87	252.225-7009	CUTY-FREE ENTRY - QUALIFYING COUNTRY SUPPLIES (END PRODUCTS AND COMPONENTS)	MAR/1998
1-88	252.225-7010	DUTY-FREE ENTRY - ADDITIONAL PROVISIONS	MAR/1998
1-89	252.225-7012	PREFERENCE FOR CEPTAIN DOMESTIC COMMODITIES (FEDERAL REGISTER, VOL 62, NO 173)	SEP/1997
1-90	252.225-7016	RESTRICTION ON ACQUISITION OF BALL AND ROLLER BEAFINGS	FEB/1998
1-91	252.225-7025	RESTRICTION ON ACQUISITION OF FORGINGS	JUN/1997
1-92	252.225-7026	REPORTING OF CONTRACT PERFORMANCE OUTSIDE THE UNITED STATES	MAR/199
1-93	252.225-7028	EXCLUSIONARY POLICIES AND FRACTICES OF FOREIGN GOVERNMENTS	DEC/199:
1-94	252,225-7031	SECONDARY ARAB BOYCOTT OF ISRAEL	JUN/199
1-95	252.225-7032	WAIVER OF UNITED KINGDOM LEVIES	OCT/199
r-96	252.225-7037	DUTY-FREE ENTRY - ELIGIBLE END PRODUCTS	MAR/199
-97	252.225-7041	CORRESPONDENCE IN ENGLISE	JUN/199
-98	252.225-7042	authorization to perporn	JUN/199
7-99	252.227-7013	RIGHTS IN TECHNICAL DATA-HONCONMERCIAL ITEMS	NOV/199
1-100	252.227-7014	RIGHTS IN NONCOMMERCIAL COMPUTER SOFTWARE AND NONCOMMERCIAL COMPUTER SOFTWARE DOCUMENTATION	JUN/199
1-101	252.227-7016	RIGHTS IN BID OR PROPOSAL INFORMATION	JUN/1999
7-102	252.227-7019	VALIDATION OF ASSERTED RESTRICTIONS - COMPUTER SOPTWARE	JUN/199
73	252.227-7025	LIMITATIONS ON THE USE OR DISCLOSURE OF GOVERNMENT-FURNISHED INFORMATION MAGKED WITH RESTRICTIVE LEGENDS	JUN/199
4	252.227-7030	TECHNICAL DATAWITHHOLDING OF PAYMENT	OCT/198
1-105	252.227-7037		NOV/199

33B

252.227-7037 Validation of Restrictive Markings on Technical Data.

As prescribed in 227.7102-3(c), 227.7103-6(e)(4), 227.7104(e)(6), or 227.7203-6(f), use the following clause:

VALIDATION OF RESTRICTIVE MARKINGS ON TECHNICAL DATA (NOV 1995)

- (a) Definitions. The terms used in this clause are defined in the Rights in Technical Data-Noncommercial Items clause of this contract.
- (b) Contracts for commercial items--presumption of development at private expense. Under a contract for a commercial item, component, or process, the Department of Defense shall presume that a Contractor's asserted use or release restrictions are justified on the basis that the item, component, or process was developed exclusively at private expense. The Department shall not challenge such assertions unless information the Department demonstrates that the item, component, or process was not developed exclusively at private expense.
- (c) Justification. The Contractor or subcontractor at any tier is responsible for maintaining records sufficient to justify the validity of its markings that impose restrictions on the Government and others to use, duplicate, or disclose technical data delivered or required to be delivered under the contract or subcontract. Except under contracts for commercial items, the Contractor or subcontractor shall be prepared to furnish to the Contracting Officer a written justification for such restrictive markings in response to a challenge under paragraph (e) of this clause.

(d) Prechallenge request for information

- (1) The Contracting Officer may request the Contractor or subcontractor to furnish a written explanation for any restriction asserted by the Contractor or subcontractor on the right of the United States or others to use technical data. If, upon review of the explanation submitted, the Contracting Officer remains unable to ascertain the basis of the restrictive marking, the Contracting Officer may further request the Contractor or subcontractor to furnish additional information in the records of, or otherwise in the possession of or reasonably available to, the Contractor or subcontractor to justify the validity of any restrictive marking on technical data delivered or to be delivered under the contract or subcontract (e.g., a statement of facts accompanied with supporting documentation). The Contractor or subcontractor shall submit such written data as requested by the Contracting Officer within the time required or such longer period as may be mutually agreed.
- (2) If the Contracting Officer, after reviewing the written data furnished pursuant to paragraph (d)(1) of this clause, or any other available information pertaining to the validity of a restrictive marking, determines that reasonable grounds exist to question the current validity of the marking and that continued adherence to the marking would make impracticable the subsequent competitive acquisition of the item, component, or process to which the technical data relates, the Contracting Officer shall follow the procedures in paragraph (e) of this clause.
- (3) If the Contractor or subcontractor fails to respond to the Contracting Officer's request for information under paragraph (d)(1) of this clause, and the Contracting Officer determines that continued adherence to the marking would make impracticable the subsequent competitive acquisition of the item, component, or process to which the

technical data relates, the Contracting Officer may challenge the validity of the marking as described in paragraph (e) of this clause.

(c) Challenge

- (1) Notwithstanding any provision of this contract concerning inspection and acceptance, if the Contracting Officer determines that a challenge to the restrictive marking is warranted, the Contracting Officer shall send a written challenge notice to the Contractor or subcontractor asserting the restrictive markings. Such challenge shall-
 - (i) State the specific grounds for challenging the asserted restriction;
 - (ii) Require a response within sixty (60) days justifying and providing sufficient evidence as to the current validity of the asserted restriction;
 - (iii) State that a DoD Contracting Officer's final decision, issued pursuant to paragraph (g) of this clause, sustaining the validity of a restrictive marking identical to the asserted restriction, within the three-year period preceding the challenge, shall serve as justification for the asserted restriction if the validated restriction was asserted by the same Contractor or subcontractor (or any licensee of such Contractor or subcontractor) to which such notice is being provided; and
 - (iv) State that failure to respond to the challenge notice may result in issuance of a final decision pursuant to paragraph (1) of this clause.
- (2) The Contracting Officer shall extend the time for response as appropriate if the Contractor or subcontractor submits a written request showing the need for additional time to prepare a response.
- (3) The Contractor's or subcontractor's written response shall be considered a claim within the meaning of the Contract Disputes Act of 1978 (41 U.S.C. 601, et seq.), and shall be certified in the form prescribed at 33.207 of the Federal Acquisition Regulation, regardless of dollar amount.
- (4) A Contractor or subcontractor receiving challenges to the same restrictive markings from more than one Contracting Officer shall notify each Contracting Officer of the existence of more than one challenge. The notice shall also state which Contracting Officer initiated the first in time unanswered challenge. The Contracting Officer initiating the first in time unanswered challenge after consultation with the Contractor or subcontractor and the other Contracting Officers, shall formulate and distribute a schedule for responding to each of the challenge notices to all interested parties. The schedule shall afford the Contractor or subcontractor an opportunity to respond to each challenge notice. All parties will be bound by this schedule.
- (f) Final decision when Contractor or subcontractor fails to respond. Upon a failure of a Contractor or subcontractor to submit any response to the challenge notice, other than a failure to respond under a contract for commercial items, the Contracting Officer will issue a final decision to the Contractor or subcontractor in accordance with the Disputes clause of this contract pertaining to the validity of the asserted restriction. This final decision shall be issued as soon as possible after the expiration of the time period of paragraph (e)(1)(ii) or (e)(2) of this clause.

Following issuance of the final decision, the Contracting Officer will comply with the procedures in paragraphs (g)(2)(ii) through (iv) of this clause.

- (g) Final decision when Contractor or subcontractor responds.
 - (1) If the Contracting Officer determines that the Contractor or subcontractor has justified the validity of the restrictive marking, the Contracting Officer shall issue a final decision to the Contractor or subcontractor sustaining the validity of the restrictive marking, and stating that the Government will continue to be bound by the restrictive marking. This final decision shall be issued within sixty (60) days after receipt of the Contractor's or subcontractor's response to the challenge notice, or within such longer period that the Contracting Officer has notified the Contractor or subcontractor that the Government will require. The notification of a longer period for issuance of a final decision will be made within sixty (60) days after receipt of the response to the challenge notice.
 - (2)(i) If the Contracting Officer determines that the validity of the restrictive marking is not justified, the Contracting Officer shall issue a final decision to the Contractor or subcontractor in accordance with the Disputes clause of this contract. Notwithstanding paragraph (e) of the Disputes clause, the final decision shall be issued within sixty (60) days after receipt of the Contractor's or subcontractor's response to the challenge notice, or within such longer period that the Contracting Officer has notified the Contractor or subcontractor of the longer period that the Government will require. The notification of a longer period for issuance of a final decision will be made within sixty (60) days after receipt of the response to the challenge notice.
 - (ii) The Government agrees that it will continue to be bound by the restrictive marking for a period of ninety (90) days from the issuance of the Contracting Officer's final decision under paragraph (g)(2)(i) of this clause. The Contractor or subcontractor agrees that, if it intends to file suit in the United States Claims Court it will provide a notice of intent to file suit to the Contracting Officer within ninety (90) days from the issuance of the Contracting Officer's final decision under paragraph (g) (2)(i) of this clause. If the Contractor or subcontractor fails to appeal, file suit, or provide a notice of intent to file suit to the Contracting Officer within the ninety (90)-day period, the Government may cancel or ignore the restrictive markings, and the failure of the Contractor or subcontractor to take the required action constitutes agreement with such Government action.
 - (iii) The Government agrees that it will continue to be bound by the restrictive marking where a notice of intent to file suit in the United States Claims Court is provided to the Contracting Officer within ninety (90) days from the issuance of the final decision under paragraph (g)(2)(i) of this clause. The Government will no longer be bound, and the Contractor or subcontractor agrees that the Government may strike or ignore the restrictive markings, if the Contractor or subcontractor fails to file its suit within one (1) year after issuance of the final decision. Notwithstanding the foregoing, where the head of an agency determines, on a nondelegable basis, that urgent or compelling circumstances will not permit waiting for the filing of a suit in the United States Claims Court, the Contractor or subcontractor agrees that the agency may, following notice to the Contractor or subcontractor, authorize release or disclosure of the technical data. Such agency determination may be made at any time after issuance of the final decision and will not affect the Contractor's or subcontractor's right to damages against the United States where its restrictive

markings are ultimately upheld or to pursue other relief, if any, as may be provided by law.

- (iv) The Government agrees that it will be bound by the restrictive marking where an appeal or suit is filed pursuant to the Contract Disputes Act until final disposition by an agency Board of Contract Appeals or the United States Claims Court. Notwithstanding the foregoing, where the head of an agency determines, on a nondelegable basis, following notice to the Contractor that urgent or compelling circumstances will not permit awaiting the decision by such Board of Contract Appeals or the United States Claims Court, the Contractor or subcontractor agrees that the agency may authorize release or disclosure of the technical data. Such agency determination may be made at any time after issuance of the final decision and will not affect the Contractor's or subcontractor's right to damages against the United States where its restrictive markings are ultimately upheld or to pursue other relief, if any, as may be provided by law.
- (h) Final disposition of appeal or suit
 - (1) If the Contractor or subcontractor appeals or files suit and if, upon final disposition of the appeal or suit, the Contracting Officer's decision is sustained-
 - (i) The restrictive marking on the technical data shall be cancelled, corrected or ignored; and
 - (ii) If the restrictive marking is found not to be substantially justified, the Contractor or subcontractor, as appropriate, shall be liable to the Government for payment of the cost to the Government of reviewing the restrictive marking and the fees and other expenses (as defined in 28 U.S.C. 2412(d)(2)(A)) incurred by the Government in challenging the marking, unless special circumstances would make such payment unjust.
 - (2) If the Contractor or subcontractor appeals or files suit and if, upon final disposition of the appeal or suit, the Contracting Officer's decision is not sustained-
 - (i) The Government shall continue to be bound by the restrictive marking; and
 - (ii) The Government shall be liable to the Contractor or subcontractor for payment of fees and other expenses (as defined in 28 U.S.C. 2412(d)(2)(A)) incurred by the Contractor or subcontractor in defending the marking, if the challenge by the Government is found not to have been made in good faith.
- (i) Duration of right to challenge. The Government may review the validity of any restriction on technical data, delivered or to be delivered under a contract, asserted by the Contractor or subcontractor. During the period within three (3) years of final payment on a contract or within three (3) years of delivery of the technical data to the Government, whichever is later, the Contracting Officer may review and make a written determination to challenge the restriction. The Government may, however, challenge a restriction on the release, disclosure or use of technical data at any time if such technical data-
 - (1) Is publicly available:

- (2) Has been furnished to the United States without restriction; or
- (3) Has been otherwise made available without restriction. Only the Contracting Officer's final decision resolving a formal challenge by sustaining the validity of a restrictive marking constitutes "validation" as addressed in 10 U.S.C. 2321.
- (j) Decision not to challenge. A decision by the Government, or a determination by the Contracting Officer, to not challenge the restrictive marking or asserted restriction shall not constitute "validation."
- (k) Privity of contract. The Contractor or subcontractor agrees that the Contracting Officer may transact matters under this clause directly with subcontractors at any tier that assert restrictive markings. However, this clause neither creates nor implies privity of contract between the Government and subcontractors.
- (1) Flowdown. The Contractor or subcontractor agrees to insert this clause in contractual instruments with its subcontractors or suppliers at any tier requiring the delivery of technical data, except contractual instruments for commercial items or commercial components.

(End of clause)

Army Regulation 70-6

Research, Development, and Acquisition

Management of the Research, Development, Test, and Evaluation, Army Appropriation

Headquarters
Department of the Army
Washington, DC
16 June 1986

Unclassified

*Army Regulation 70-6

Effective 1 October 1986

Research, Development, and Acquisition

Management of the Research, Development, Test, and Evaluation, Army Appropriation

By Order of the Secretary of the Army: JOHN A. WICKHAM, JR. General, United States Army Chief of Staff

Official:

R. L. DILWORTH Brigadier General, United States Army The Adjutant General

History. This UPDATE printing publishes a revision which is effective 1 October 1986. Because the structure of the entire revised text has been reorganized, no attempt has been made to highlight changes from the earlier regulation dated 12 November 1974. The cover date of this issue is later than the date of publication within because of the non-availability of printing funds at the time of publication.

Summary. This revision prescribes policies

and procedures for the programming, budgeting, and execution of the Research, Development, Test, and Evaluation, Army (RDTE,A) Appropriation. It implements the policies and uses of the RDTE,A appropriation as defined in DOD 7110-1-M chapter 251. It contains the policy and procedures for use in RDTE,A reprogramming and Total Risk Assessing Cost Estimates (TRACE) for RDTE,A programs.

Applicability. This regulation applies to all Active Army activities and installations that program, budget, and expend RDTE, A appropriations funds. It does not apply to the Army National Guard or the U.S. Army Reserve.

Proponent and exception authority. Not applicable

Army management control process. This regulation is subject to the requirements of AR 11-2. It contains internal control provisions and checklists for conducting internal control reviews.

Supplementation. Supplementation of this regulation and establishment of forms other than DA forms are prohibited without prior

approval from HQDA (DAMA-PPR), WASH DC 20310-0666.

Interim changes, Interim changes to this regulation are not official unless they are authenticated by The Adjutant General. Users will destroy interim changes on their expiration dates unless sooner superseded or rescinded.

Suggested Improvements. The proponent agency of this regulation is the Office of the Deputy Chief of Staff for Research, Development and Acquisition, Users are invited to send comments and suggested improvements on DA Form 2028 (Recommended Changes to Publications and Blank Forms) directly to HQDA (DAMA-PPR), WASH DC 20310-0666.

Distribution. Distribution of this issue has been made in accordance with DA Form 12-9A-R requirements for 70-series publications. The number of copies distributed to a given subscriber is the number of copies requested in Blocks 112 of the subscriber's DA Form 12-9A-R, AR distribution is D for Active Army; for ARNG, and USAR; None.

Contents (Listed by paragraph and page number)

Chapter 1

Introduction, page 1 Purpose • 1-1, page 1 References • 1-2, page 1

Explanation of abbreviations and terms • 1-3, page 1

Responsibilities • 1-4, page 1

Research, Development, Test, and Evaluation, Army numbering systems • 1-5, page 2

Procedures for program element/project initiation • 1-6, page 2 Internal control • 1-7, page 2

Chapter 2

Appropriation Guidance, page 2

Use of the Research, Development, Tesi, and Evaluation, Army appropriation • 2-1, page 2 Incremental funding • 2-2, page 3 Use of RDTE, A project orders • 2-3, page 3

Chapter 3

Funding guidance, page 3

Indirect management and support costs • 3-1, page 3 Carrier accounts • 3-2, page 3 Base operations (BASEOPS)/real property maintenance activities (RPMA) • 3-3, page 3

Host-tenant support costs • 3-4, page 4

Army Management Headquarters activities (AMHA) and other RDTE, A command management and administration costs • 3-5, page 4

Laboratory management and administration • 3-6, page 4

Army Industrial Fund • 3-7, page 5

Developmental and operational testing-unique policy • 3-8, page 5 Reimbursements • 3-9, page 5

Equipment authorization/documentation * 3-10, page 5

Funding and issue of standard stock items of equipment • 3-11, page 6

Special purpose equipment and automatic data processing equipment • 3–12, page 6

Base-level commercial equipment • 3-13, page 6 Productivity Capital Investment Programs • 3-14, page 6

Transportation costs • 3-15, page 6

Chapter 4

Budget Formulation and Execution, page 7

General • 4-1, page 7

MACOM/operating agency budget submissions • 4-2, page 7 OSD/DA withholds • 4-3, page 7

^{*}This regulation supersedes AR 70-6, 12 November 1974

numerous other activities. Under these circumstances, managerial and administrative costs would be reported under the appropriate BASEOPS account.

3-7. Army Industrial Fund

Effective with FY87, the Army will not use AIF accounting procedures to account for the funding at RDTE, A installations and activities. This policy does not preclude an RDTE, A activity or installation from placing an individual reimbursable or project order with another activity that is industrially funded in order to obtain supply, maintenance, production, or transportation services. Where an RDTE, A activity is a tenant or satellite on a host installation that utilizes the industrial fund for financial management, a single project order (or reimbursable order) will be written to the industrial fund to cover the RDTE, A activity's share of BASEOPS/RPMA expenses based on the negotiated support agreement. These host-tenant support costs will be programmed and budgeted for in accordance with paragraph 3-4b. All other costs related to the operation of the RDTE, A activity will be managed through use of an appropriation accounting financial management system.

3-8. Developmental and operational testing-unique policy

- a The appropriate use of RDTE,A funds for the conduct and performance of developmental tests and operational tests is furnished in AR 70-10, chapter 4. Additional details are furnished below
- (1) Development preproduction prototypes (RDTE,A-financed) will be used for developmental test and evaluation (DT&E), including scientific, technical and weapons effects tests. Such preproduction prototypes (RDTE,A-financed) will also be used for initial operational test and evaluation (IOTE). When so used, they must be sufficiently representative of the expected production items to provide from the IOTE a valid estimate of the production items' operational effectiveness and suitability. If it should be necessary to acquire a limited number of special pilot items from a pilot line to provide the necessary representative's, costs for establishing the initial pilot line and for these IOTE ttems will be RDTE, A-funded. All subsequent costs for retaining initial pilot line capability, and for items for follow-on OT&E and inventory, however, will be funded from other appropriations as provided for in AR 37-100-XX, chapter 7. RDTE, A-financed prototype must be adequate in number to satisfy both the DT&E and IOTE requirements. Special support costs and command support costs for accomplishment of IOTE should be RDTE.A-funded.
- (a) Special support costs are used in the context of test and evaluation (T&E) programs. They are those acquisition or hardware costs, other than those associated with the item(s) that is(are) the subject of the test, which are incurred in direct support of the T&E effort (for example, special range instrumentation costs).
- (b) Command support costs are also used in the context of the T&E programs. These costs refer to people-related costs of the command and operational units providing collateral support to the T&E effort. These are additional costs incurred because of this test support Examples are per diem pay, travel allowances, and overtime.
- (2) The appropriate appropriation for items falling in some of the categories shown below, as well as what may constitute a realistic number of test articles for major developments, will depend on the actual program circumstances involved for each case for each annual program/budget cycle. Therefore, each program/budget proposal made in accordance with these instructions will be subject to review and determination.
- (a) DT&E programs must provide complete and reliable data that can be used to estimate the military utility of new items as a basis for considering decisions to continue engineering development. To this end, it is essential to plan, program, budget, and fund for an adequate number of research and development (R&D) articles for development, test, and evaluation that will be fabricated, manufactured, or produced in a realistic preliminary production manner and

thus provide such data. The RDTE, A appropriation is to be used for this purpose.

- 1. Technical feasibility testing and evaluation will be funded from the RDTE,A appropriation.
- 2. Operational feasibility testing (a subcategory of force development test and evaluation) and evaluation will be funded from the operation and maintenance appropriations.
- 3. Combined technical and operational feasibility testing costs will be shared by both appropriations, utilizing test objectives as a basis for share determination.
- (b) Articles for test and evaluation financed by the RDTE,A appropriation and still available at the completion of the test program may be reassigned for operational use or inventory. The cost to reconfigure such articles for operational use would be financed by OMA or procurement appropriations (PA) as appropriate.
- (3) Major end items (not included under stock funds) such as weapons, test vehicles, equipment, or major components thereof, required to support the approved development and test program for a different military end item, will be subject to the following:
- (a) Items that can be made available from existing inventory on a priority basis will be reassigned for use in R&D test and evaluation programs without reimbursement for the procurement of the items.
- (b) Items consumed in R&D test and evaluation will be financed by the RDTE,A appropriation.
- (c) Consumable rounds of ammunition or rounds of similar tactical missiles otherwise procured in quantity for inventory under existing procedures, may be issued on a priority basis for use in R&D testing without reimbursement unless reimbursement for such items is required under other directives.
- (d) Items that have otherwise been approved for procurement operational use and included in the forces, are in production or on buy for a requirement other than the RDTE,A program, can be assigned for use in R&D test and evaluation on a priority basis If the items are not consumed in the R&D testing they will be financed by PA or OMA. RDTE,A will bear any costs necessary to return the item to serviceable condition.
- b. Policies concerning the operation, management, and financing of the DA Major Range and Test Facility Base (MRTFB) are contained in AR 70-69.
- c BASEOPS/RPMA costs at MRTFB installations will be funded as directed in paragraph 3-3.
- d. Host-tenant support costs for tenants and satellite activities will be funded in accordance with paragraph 3-4.
- e. MRTFB indirect test support costs not identified and funded for in accordance with b, c, and d above will be funded in program element 65804A (AMSCO 665804) for the test and evaluation command ranges and 65301A (AMSCO 665301) for Kwajalein Missile Range.

3-9. Reimbursements

Beginning in FY87, reimbursable orders received by RDTEA installations and activities will be treated as automatic reimbursements to the same RDTEA AMSCO where personnel performing the service are assigned and/or where related management and administration or BASEOPS/RPMA costs are incurred to fill the reimbursable order. Execution reports must separately identify direct and reimbursable obligations, expenses, and disbursements. The use of the 69XXXX series of AMSCO accounts currently defined in AR 37-100-XX is rescinded effective with the completion of the FY86 program year execution.

3-10. Equipment authorization/documentation

- a. Criteria for documentation of equipment at RDTE,A installations and activities is in AR 310-34, chapter 2.
- b. Criteria for obtaining loaned equipment without reimbursement for use in the performance of RDTE,A missions is in AR 700-131.
- a. Machinery or equipment authorized within a customer order to be acquired in fulfilling requirements thereof should be financed by direct cite of customer funds. Equipment acquired specifically for a customer order in this manner is the property of the customer, and will be disposed of in accordance with the customer's instructions.